NT4H2421Gx

NTAG 424 DNA – Secure NFC T4T compliant IC

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Product data sheet COMPANY PUBLIC

1 General description

1.1 Introduction

NTAG 424 DNA (NT4H2421Gx) sets a new standard in secure NFC and IoT applications, introducing a new NTAG DNA chip generation with state-of-the-art features for security and privacy protection. It comes with AES-128 cryptographic operation and a new Secure Unique NFC (SUN) Message feature to generate tap-unique data authentication upon each read-out by an NFC enabled mobile device. This enables most advanced product and content protection, plus secured exclusive user experiences served in real time.

NTAG 424 DNA is fully compliant with the NFC Forum Type 4 Tag IC specification (Certification ID: 58562), with the contactless proximity protocol according to ISO/IEC14443-4 and the ISO/IEC 7816-4 based file system and command frames, to ensure maximum interoperability within the NFC infrastructure. Its NFC performance supports superior user interaction and reading distances of up to 10 cm.

Using AES-128 cryptography, the tag generates a Secure Unique NFC (SUN) message for the authentication each time it is being tapped. An NFC mobile device reads this tap-unique URL with the SUN authentication message, sends it to the host where tag and message authentication take place, and returns the verification result. The SUN authentication mechanism is guaranteed to work on Android (without a dedicated app) and iOS11 (with an app). This way, NTAG 424 DNA offers tag authentication, as well as data assurances on authenticity, integrity and even confidentiality, while also securing physical tag presence, see also Section 9.3.

The chip has a file-based memory structure of totally 416 bytes (compliant to NFC Forum Type 4 Tag and ISO/IEC 7816-4) with a Capability Container (CC) file to specify the NFC Forum tag operation, an NDEF file as well as an extra data file to protect sensitive content. Configurable access rights per file support different use cases of brand product manufacturers and service providers to meet specific security and operational requirements. With 5 customer defined AES keys, NTAG 424 DNA enables advanced cryptographic functionalities – for the CMAC, optionally combined with encrypted data, for SUN, mutual authentication (secure host or reader authentication) and for secure access to the NDEF file and the extra data file.

NTAG 424 DNA contains configurable features like optionally encrypting part of the NDEF file, and the fully encrypted communication mode to address privacy sensitive applications. The optional Random ID together with the encrypted chip UID/data that can be mirrored in the NDEF file, enables compliance with latest user data protection regulations.

Besides the standard AES-128 implementation, NTAG 424 DNA can also offer an alternative AES-based protocol for authentication and secure messaging using a



Leakage Resilient Primitive, or LRP – a wrapper around the AES cryptography to enhance side-channel attack resistance.

Thanks to its high input capacitance of 50 pF, NTAG 424 DNA tag IC is well suited for smart product applications requiring smaller footprint antennas, without compromise on performance. Smaller NFC tags can more easily be embedded in product labels, modules, caps and closures, and other packaging formats.

2 Features and benefits

2.1 RF Interface & Communication Protocol

- Fully compliant to the NFC Forum Tag 4 Type technical specification [15]
- Fully compliant to NDEF data structure configurations [16]
- Contactless interface compliant to ISO/IEC 14443A-2/ -3/ -4, see [1], [2], [3]
- Support of ISO/IEC 7816-4 communication frames for highest interoperability with mobile and wearables
- · Low power consumption (Hmin) enabling operating distances of up to 10 cm
- Support of fast data rates: 106 kbit/s, 212 kbit/s, 424 kbit/s, and 848 kbit/s
- Support of double size (7-byte) Unique Identifiers (UID) and optionally Random ID (RID) according to ISO 14443-3 [2]
- Communication frame size to support up to 128 bytes
- · Support of ISO 7816-4 wrapped commands

2.2 Memory Organization

- · 416 bytes user memory
- Data retention of 50 years and write endurance of minimum 200.000 cycles
- File system compliant to ISO/IEC 7816-4 with one predefined Directory File (DF) and a set of Elementary Files (EF)
 - Three standard data files, one with 32 byte for the capability file, one with 256 bytes for NDEF storage and one with 128 bytes for protected data
- · File system compliant with ISO 7816

2.3 Security and Privacy

- Common Criteria certification: EAL4 for both Hardware and Software
- Secure Unique NFC (SUN) message featuring integrity protection, authenticity and confidentiality
- The SUN feature is enabled by the Secure Dynamic Messaging (SDM) which is mirrored as text (ASCII encoded) into the NDEF message
- · Incremental NFC Counter, which counts each tap
- Secure messaging compliant to standard AES according to NIST Special Publication 800-38A and 800-38B [5] [6]
 - Optional enhanced side channel attack protection using LRP wrapped AES operation according to [10]
- Five customer defined AES 128-bit keys including key versions
- Optional Random ID for enhanced privacy
- 3-pass mutual authentication

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- Flexible access control configurable per file (EF)
 - Individual key configuration for Read (R) / Write (W) / ReadWrite (RW) / Configuration
- Configurable secure messaging communication mode
 - Plain communication
 - CMAC protected for message integrity protection
 - Full Enciphered plus CMAC for full encryption of complete data transferred through contactless interface
- ECC-based NXP originality signature
- · AES-based originality keys leveraging the LRP wrapped AES authentication

2.4 Specific Features

- · Frame-level oriented automatic anti-tearing mechanism
- High input capacitance (50 pF) for small form factor design

3 Applications

NTAG 424 DNA offers multi-layered security to enable a broad range of trusted applications that protect products, services and user experiences.

- · Advanced anti-counterfeiting
 - Verify authenticity of physical goods and identify sales outside authorized markets
- Secured exclusive user experiences
 - Reward customers with truly exclusive and personalized content, offers and privileges
- Secured sensitive data applications
 - Protect sensitive product and user data, or trigger an action upon a verified incidence, e.g., payment
- Protected monetary offers
 - Confer trust to proximity transactions such as coupons, promotions or loyalty points
- Document authentication
 - Authenticate originality and track provenance of documents that bear credentials
- Secure authentication and configuration of closed loop devices
 - Authenticate consumables and parts, and enable automated transfer of device settings
- Verified physical visitor presence
 - Enable secure visitor authentication, with proof of live presence and service records
- Secure log-in credentials
 - Protect web services using two-factor authentication logons to sensitive content sites

Ordering information

Table 1. Ordering information

| Type number | Package | Description | Version |
|------------------|---------|--|----------|
| NT4H2421G0DUD/02 | FFC | 8 inch wafer (sawn; 120 μ m thickness; Au Bumps) ^{[1] [2]} 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | - |
| NT4H2421G0DUF/02 | FFC | 8 inch wafer (sawn; 75 μ m thickness; Au Bumps) [1] [2] 256 Byte NDEF Message, 128 Byte User Memory, C_i = 50 pF | - |
| NT4H2421G0DA8/02 | MOA8 | Plastic leadless module carrier package $^{[3]}$ 256 Byte NDEF Message, 128 Byte User Memory, C_i = 50 pF | SOT500-4 |
| NT4H2421GSDUD/02 | FFC | Service version with preprogrammed NDEF message and keys 8 inch wafer (sawn; 75 µm thickness; Au Bumps) [1] [2] 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | - |
| NT4H2421GSDUF/02 | FFC | Service version with preprogrammed NDEF message and keys 8 inch wafer (sawn; 75 μ m thickness; Au Bumps) [1] [2] 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | - |
| NT4H2421GSDA8/02 | MOA8 | Service version with preprogrammed NDEF message and keys Plastic leadless module carrier package $^{[3]}$ 256 Byte NDEF Message, 128 Byte User Memory, C_i = 50 pF | SOT500-4 |
| NT4H2421GCDUD/02 | FFC | Customer configurable version 8 inch wafer (sawn; 75 μ m thickness; Au Bumps) [1] [2] 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | - |
| NT4H2421GCDUF/02 | FFC | Customer configurable version 8 inch wafer (sawn; 75 µm thickness; Au Bumps) ^{[1] [2]} 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | - |
| NT4H2421GCDA8/02 | MOA8 | Customer configurable version Plastic leadless module carrier package ^[3] 256 Byte NDEF Message, 128 Byte User Memory, C _i = 50 pF | SOT500-4 |

Delivered on film frame carrier with electronic fail die marking according to SECSII format.

See [12] see for MOA8 Figure 31

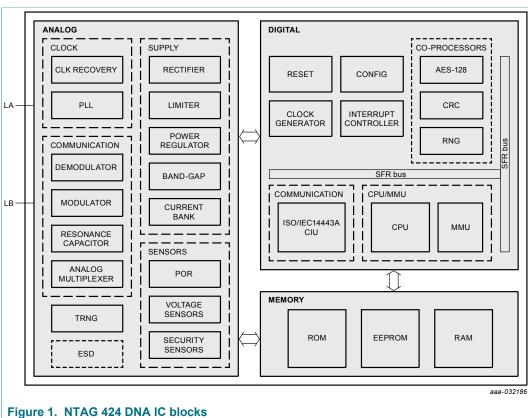
Quick reference data

Table 2. Quick reference data

| Symbol | Parameter | Conditions | | Min | Тур | Max | Unit |
|-----------------------|-----------------------------------|--------------------------|--------------|---------|-------|------|-------|
| C _i | input capacitance | | [1] | 45.0 | 50 | 55.0 | pF |
| f _i | input frequency | | | - | 13.56 | - | MHz |
| EEPROM ch | aracteristics | | , | | | | |
| t _{ret} | retention time | T _{amb} = 22 °C | | 50 | - | - | year |
| N _{endu} (W) | write endurance ^[2] | T _{amb} = 22 °C | | 200.000 | - | - | cycle |
| t _{cy} (W) | write cycle time | T _{amb} = 22 °C | | - | 1 | - | ms |

 T_{amb} = 22 °C; f_i = 13.56 MHz; 2 V RMS Write endurance of a single EEPROM cell

6 Block diagram



rigure 1. NTAG 424 DNA 10 DIOCKS

7 Pinning information

7.1 Pinning

The pinning for the NT4H2421Gx is shown in Figure 2 for a contactless MOA8 module.

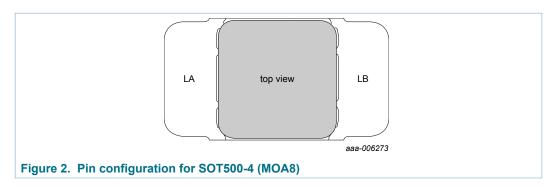


Table 3. Pin allocation table

| Pin | Symbol | |
|-----|--------|----------------------------|
| LA | LA | antenna coil connection LA |
| LB | LB | antenna coil connection LB |

8 Functional description

8.1 Interface initialization and protocol

NT4H2421Gx is fully compliant to ISO/IEC 14443-2 [1] radio frequency power and signal interface, the initialization and anti-collision is according to ISO/IEC 14443-3 [2] and it uses transmission protocol as specified in ISO/IEC 14443-4 [3] of PICC Type A.

8.1.1 ISO/IEC 14443 parameter values

This section describes the values for ISO/IEC 14443 activation and selection. Usage of Random ID can be changed using the SetConfiguration command.

Note, that any change in the ISO/IEC 14443 parameter values through <u>SetConfiguration</u> requires a power cycle to make those changes effective.

ATQA

ATQA value is 0344h, which denotes double size (7-byte) UID. However, NT4H2421Gx offers configuration of Random ID which is single size (4-byte). If the Random ID feature is enabled, then the ATQA is changed to 0304h. According to ISO/IEC 14443-3, the ATQA bytes are transmitted as LSB first.

SAK

For double size UID, the value of SAK1 in cascade level 1 is 04h, indicating that the UID is not complete. SAK2 in cascade level 2 is 20h, indicating UID complete and supporting ISO/IEC 14443-4. For single size UID which is used in the Random ID case, the value of SAK is 20h, indicating UID complete and supporting ISO/IEC 14443-4.

UID

The ISO/IEC 14443-3 compliant UID is programmed and locked during production. The first byte of the double size UID is fixed to 04h, indicating NXP as manufacturer.

ATS

The value of the ATS of NT4H2421Gx is as follows:

Table 4. ATS value

| ATS Parameter | Value | Comment |
|------------------|-------|--|
| TL | 06h | Length of ATS |
| T0 | 77h | TA(1), TB(1), TC(1) present in ATS and frame size is 128 bytes |
| TA(1) | 77h | Different communication speed can be set in each direction supports communication speeds 212, 424, 848 kbps in both directions |
| TB(1) | 71h | Max frame waiting time is 38.66 ms, start frame guard time is 604 μs |
| TC(1) | 02h | CID supported |
| T1 | 80h | Historical byte |

8.1.2 Setting of higher communication speed

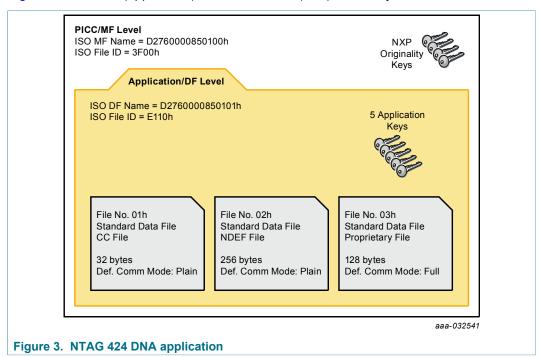
After receiving an ATS, a PPS request can be sent to the NT4H2421Gx to set up a higher communication speed up to 848 kbit/s according to ISO/IEC 14443-4 [3].

8.1.3 Half-duplex block transmission protocol

NT4H2421Gx uses half-duplex block transmission protocol as specified in ISO/IEC 14443-4. It is fully compliant to block format, frame waiting time, frame waiting time extension, protocol operation, and all rules or handling as in [3].

8.2 User memory

The file system in the user memory is according to ISO/IEC 7816-4 and shown in Figure 3. In the DF (application), there are 3 EFs (files) and 5 keys.



8.2.1 Application and file selection

The MF (PICC Level), the DF (application) and the EFs (files) can be selected using the ISOSelectFile command specified in ISO/IEC 7816-4 [4] and described in Section 10.9.1.

The PICC level refers to the card itself and has the ISO DF Name D2760000850100h and the File ID 3F00h. The only functionality available on PICC level is an LRP mode authentication using an <u>OriginalityKey</u> and the retrieval of the originality signature using the <u>Read Sig command</u>, see <u>Section 10.10.1</u>.

8.2.2 Application Name and ID

NT4H2421Gx is pre-configured with one application. The DF name and File ID are as follows:

• DF Name: D2760000850101h

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• File Identifier: E110h

8.2.3 Files

NT4H2421Gx stores user data into EF (files) of specific types. All files are statically created and cannot be deleted. The ChangeFileSettings command can be used to change the access rights configuration.

File access rights can be restricted with the keys of the application.

Table 5. File management

| EF (File) Type | File type coding | File no. | File ID | File size | Example uses |
|-------------------|------------------|----------|---------|-----------|---|
| StandardData file | 00h | 01h | E103h | 32 bytes | CC file |
| | | 02h | E104h | 256 bytes | NDEF message, SDM and mirroring supported |
| | | 03h | E105h | 128 bytes | Proprietary file, storage of raw data |

8.2.3.1 StandardData file

A StandardData file stores the data as raw data bytes. Data is accessed by chunk of byte at a certain offset in the StandardData file and with a certain byte length.

A StandardData file can be read with the <u>ReadData</u> and <u>ISOReadBinary</u> commands. The data can be written with the <u>WriteData</u> and <u>ISOUpdateBinary</u> commands. <u>ISOReadBinary</u> and <u>ISOUpdateBinary</u> are standard ISO/IEC 7816-4 interindustry commands, see [4].

A StandardData file is not covered by the transaction mechanism and therefore does not implement a transaction-based backup mechanism. Thus data are available to read as soon as they are written in the file. The writing operations of single frames up to 128 bytes with a WriteData or ISOUpdateBinary command are also tearing protected.

NT4H2421Gx is configured to hold the NDEF message in StandardData file No 02h, see Section 8.2.3. Enabling SDM is only possible for this file. At delivery, SDM is disabled.

8.2.3.2 Capability Container File

The Capability Container (CC) file is a StandardData file with respect to access rights management and data management. This file will hold the CC-file according to [15]. At delivery it will hold following content:

- CCLEN = 0017h, i.e. 23 bytes
- T4T VNo = 20h, i.e. Mapping Version 2.0
- MLe = 0100h, i.e. 256 bytes
- MLc = 00FFh, i.e. 255 bytes
- NDEF-File_Ctrl_TLV
 - T = 04h, indicates the NDEF-File Ctrl TLV
 - L = 06h, i.e. 6 bytes
 - NDEF-File File Identifier = E104h
 - NDEF-File File Size = 0100h, i.e. 256 bytes
 - NDEF-File READ Access Condition = 00h, i.e. READ access granted without any security

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- NDEF-File WRITE Access Condition = 00h, i.e. WRITE access granted without any security
- Proprietary-File Ctrl TLV
 - T = 05h, indicates the Proprietary-File Ctrl TLV
 - -L = 06h, i.e. 6 bytes
 - Proprietary-File File Identifier = E105h
 - Proprietary-File File Size = 0080h, i.e. 128 bytes
 - Proprietary-File READ Access Condition = 82h, i.e. Limited READ access, granted based on proprietary methods, after authentication with key 2h.
 - Proprietary-File WRITE Access Condition = 83h, i.e. Limited READWRITE access, granted based on proprietary methods, after authentication with key 3h.

8.2.3.3 File access rights management

File data is accessed with 3 different access rights Read, Write and ReadWrite.

In addition, an access right called Change is specified per file permitting ChangeFileSettings to change the file access rights.

An access right is granted if at least one condition associated to it is satisfied. Such conditions are called access conditions. Access conditions are associated with an access right and evaluated to decide whether the access right is granted or not. There are three kinds of access conditions:

- The access condition where a valid authentication with a given <u>AppKey</u> of the targeted application is needed to access related commands listed in <u>Table 9</u>. The access condition is satisfied if the current valid authentication has been performed with the given <u>AppKey</u> and not satisfied in all other cases. The <u>AppKey</u> is specified with its number.
- The free access condition meaning the related commands listed in <u>Table 9</u> can be accessed without an active authentication.
- The no access condition meaning no access to related commands listed in Table 9.

The access conditions are specified on 4 bits as defined in the following table.

Table 6. Access condition

| Condition value | Description | |
|-----------------|-------------------------------|--|
| 0h4h | key number of a <u>AppKey</u> | |
| Eh | free access | |
| Fh | no access or RFU | |

The set of access conditions is coded on 2 bytes as shown in the following table. RFU access conditions are expected to be set to Fh (for future extensibility).

Table 7. Set of Access condition

| Bit index | Description | Value |
|-----------|-------------|---------------------------------------|
| 1512 | Read | access condition as in <u>Table 6</u> |
| 118 | Write | access condition as in <u>Table 6</u> |
| 74 | ReadWrite | access condition as in <u>Table 6</u> |
| 30 | Change | access condition as in <u>Table 6</u> |

The default access conditions for the files in NT4H2421Gx is shown below in .

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Table 8. Default file access rights

| File No. | File Type | Read | Write | ReadWrite | Change |
|----------|-------------------|------|-------------------|-------------------|--------|
| 01h | StandardData File | Eh | 0h | 0h | 0h |
| 02h | StandardData File | Eh | Eh ^[1] | Eh ^[1] | 0h |
| 03h | StandardData File | 2h | 3h | 3h | 0h |

^[1] Write and ReadWrite access rights for the NDEF File (File No. 02h) should be changed after personalization in order to prevent unauthorized changes in the NDEF File.

The mapping of access rights to applicable commands is shown in Table 9.

Table 9. Command list associated with access rights

| Access Right | Command |
|--------------|--|
| Read | ReadData ISOReadBinary |
| Write | WriteData ISOUpdateBinary |
| ReadWrite | ReadData ISOReadBinary WriteData ISOUpdateBinary |
| Change | ChangeFileSettings |
| SDMMetaRead | - |
| SDMFileRead | ReadData ISOReadBinary |
| SDMCtrRet | GetFileCounters |

A command listed in <u>Table 9</u> is accepted if at least one access condition associated with an access right granting access to it is satisfied. If authenticated and the only access conditions satisfied are the free access Eh ones, then the CommMode.Plain is to be applied.

If not authenticated, Secure Dynamic Messaging will be applied if access is granted via SDMFileRead, even if there is free access via one of the other access rights. SDMFileRead is not affecting the regular secure messaging, i.e. if authenticated.

8.2.3.4 SDM related access rights

A StandardData file can be associated with the following Secure Dynamic Messaging access rights: SDMMetaRead, SDMFileRead and SDMCtrRet. SDMCtrRet is interpreted as the access rights defined according to Table 6 and grants access to the GetFileCounters command. The others have a different interpretation.

The SDMMetaRead access does not define access to certain commands, but it defines the mirroring of PICCData, i.e. whether the PICCData will be mirrored in plain, encrypted or not at all, see also <u>Section 9.3.3</u>. This is interpreted according to <u>Table 10</u>.

Note that it is still possible to enable plain PICCData mirroring of the UID even if Random ID is enabled. For privacy protection, it is strongly recommended to use only encrypted PICCData mirroring for the UID if Random ID is enabled.

Table 10. SDMMetaRead values

| Condition value | Description |
|-----------------|--|
| 0h4h | <u>SDMMetaReadKey</u> : key number of an <u>AppKey</u> used to encrypt the PICCData before mirroring |
| Eh | Plain PICCData mirroring |
| Fh | No PICCData mirroring |

The SDMFileRead access right, if related with an <u>AppKey</u>, grants free access to <u>ReadData</u> and <u>ISOReadBinary</u>. The targeted <u>AppKey</u> is used for the Secure Dynamic Messaging, see also <u>Section 9.3</u>. SDMFileRead is interpreted according to <u>Table 10</u>.

Table 11. SDMFileRead values

| Condition value | Description | | |
|-----------------|--|--|--|
| 0h4h | <u>SDMFileReadKey</u> : free access, key number of an <u>AppKey</u> that is to be applied for the Secure Dynamic Messaging | | |
| Eh | RFU | | |
| Fh | No Secure Dynamic Messaging for Reading | | |

Note that SDMFileRead is not influenced by Read or ReadWrite access rights on the NDEF file. If SDMFileRead is granted, the Secure Dynamic Messaging will always be applied in not authenticated state. If SDMFileRead access right is set to Fh, it is still possible to freely read the file if Read or ReadWrite access right are set to Eh. In this case, plain mirroring of the PICCData, see Section 9.3.3, is still applied if the card is configured for that.

8.2.3.5 Communication modes

NT4H2421Gx supports three communication modes as defined in <u>Table 12</u>. As shown in the table, the different communication modes can be represented by two bits. This representation is used at several places in the document.

Table 12. Supported communication modes

| Table 12. Supported Communication modes | | | | | |
|---|--------------------|---|--|--|--|
| Communication mode | Bit Representation | Explanation | | | |
| CommMode.Plain | X0b | No protection: message is transmitted in plain text | | | |
| CommMode.MAC | 01b | MAC protection for integrity and authenticity | | | |
| CommMode.Full | 11b | Full protection for integrity, authenticity and confidentiality, also referred to as "Full Protection" mode | | | |

The communication mode defines the level of security for the communication between PCD and PICC after mutual authentication. At application level, the communication mode is defined by the command itself, as specified in Table 22. The specified communication mode is applied if there is an active authentication regardless of whether this authentication is required by the command or not.

At file level, the communication mode is defined by the file. The specified communication mode is applied if there is an active authentication. Note, if the only valid access condition for a certain access right is free access (Eh) under an active authentication, CommMode.Plain has to be applied, see also Section 8.2.3.3.

The commands for authentication have their own secure messaging rules, as indicated by N/A (not applicable) in <u>Section 10.2</u>. The <u>ChangeKey</u> command is always executed in Full Protection mode.

If there is no active authentication, the command and response are sent in plain (or the command is rejected in the case an authentication is required).

The default communication mode per file is shown in below.

Table 13. Default communication modes per file

| EF (File) Type | File no. | Default communication mode |
|-------------------|----------|----------------------------|
| StandardData file | 01h | CommMode.Plain |
| | 02h | CommMode.Plain |
| | 03h | CommMode.Full |

8.2.4 Keys

Application keys and PICC keys and their usage are defined in <u>Table 14</u> and <u>Table 15</u> respectively. They are used to manage the security of the application.

Table 14. Keys at application level

| Key Identifier | Key number | Change Key | Can be used for Authentication |
|-----------------------|------------|---------------------|-----------------------------------|
| Addressable keys: | | | |
| <u>AppMasterKey</u> | 00h | <u>AppMasterKey</u> | yes |
| <u>AppKey</u> | 00h04h | <u>AppMasterKey</u> | yes |
| <u>SDMMetaReadKey</u> | 00h04h | <u>AppMasterKey</u> | yes |
| <u>SDMFileReadKey</u> | 00h04h | <u>AppMasterKey</u> | yes |

8.2.4.1 AppMasterKey

The <u>AppMasterKey</u> always has the key number 00h. A successful authentication with the <u>AppMasterKey</u> is required to change any application key including the <u>AppMasterKey</u> itself with the <u>ChangeKey</u> command.

8.2.4.2 AppKey

The application of the NT4H2421Gx includes 5 AES 128-bit keys with key numbers 0, 1, 2, 3, 4. Furthermore, key number "E" (means free) and key number "F" (means never) can be assigned for access rights management.

The transport value of these 5 keys is 16 bytes of 00h, and can be changed by authentication with key number 0 in transport configuration.

Remark: It is highly recommended to change all 5 keys at personalization, even if not all keys are used in the application.

8.2.4.3 SDMMetaReadKey

The <u>SDMMetaReadKey</u> is one of the 5 <u>AppKey</u>. Which key is used is configured via <u>Section 10.7.1</u> by adjusting the SDMMetaRead access rights, see <u>Section 8.2.3.4</u>. <u>SDMMetaReadKey</u> is used to encrypt PICCData before mirroring.

As the <u>SDMMetaReadKey</u> refers to an <u>AppKey</u>, it is changeable with <u>ChangeKey</u> with an active authentication with the <u>AppMasterKey</u>.

As the <u>SDMMetaReadKey</u> refers to an <u>AppKey</u>, it is available for authentication.

8.2.4.4 SDMFileReadKey

The <u>SDMFileReadKey</u> is one of the 5 <u>AppKey</u>. Which key is used is configured via <u>Section 10.7.1</u> by adjusting the SDMFileRead access rights, see <u>Section 8.2.3.4</u>. <u>SDMFileReadKey</u> is used for Secure Dynamic Messaging.

As the <u>SDMFileReadKey</u> refers to an <u>AppKey</u>, it is changeable with <u>ChangeKey</u> with an active authentication with the <u>AppMasterKey</u>.

As the SDMFileReadKey refers to an AppKey, it is available for authentication.

8.2.4.5 OriginalityKey

The authentication procedure for AES keys can be used to authenticate to one of the four <u>OriginalityKey</u> and check whether the PICC is a genuine NXP product. NT4H2421Gx supports targeting the <u>OriginalityKey</u> with the LRP authentication using AES. For details on the authentication command, see <u>Section 9.2</u>. The following variants can be used:

- AuthenticateLRPFirst, see Section 9.2.5
- AuthenticateLRPNonFirst, see Section 9.2.6

Table 15. Keys at PICC level

| Key Identifier | Key number | Can be used for Authentication |
|-------------------|------------|-----------------------------------|
| Addressable keys: | | |
| OriginalityKey1 | 01h | yes |
| OriginalityKey2 | 02h | yes |
| OriginalityKey3 | 03h | yes |
| OriginalityKey4 | 04h | yes |

8.2.4.6 Key version

A 1-byte key version (00h to FFh) is assigned to each key. This key version can be used to distinguish the keys of a specific application if the same application uses different keys or key versions.

The key version is set with the <u>ChangeKey</u> command and can be retrieved using the <u>GetVersion</u> command.

8.3 Native Command Format

NT4H2421Gx always communicates in ISO/IEC 7816-4 wrapped mode as described in <u>Section 8.4</u>. Nevertheless it is important to understand the basic format of native commands which consist of the following parts.

A command as sent by the PCD consists of the concatenation of:

- the command code (Cmd)
- zero, one or more header fields (CmdHeader)
- zero, one or more data fields (CmdData)

The response as sent by the PICC consists of the concatenation of:

- the return code (RC)
- zero, one or more data fields (RespData)

NT4H2421Gx supports the APDU message structure according to ISO/IEC 7816-4 [4] for:

- wrapping of the native command format into a proprietary ISO/IEC 7816-4 APDU
- a subset of the standard ISO/IEC 7816-4 commands (<u>ISOSelectFile</u>, <u>ISOReadBinary</u>, <u>ISOUpdateBinary</u>)

Remark: Communication via native ISO/IEC7816-4 commands without wrapping is not supported.

On the native command interface, plain command parameters consisting of multiple bytes are represented least significant byte (LSB) first, similar as for ISO/IEC 14443 parameters during the activation, see [2]. For cryptographical parameters and keys (including the random numbers exchanged during authentication, the TI and the computed MACs), this does not hold. For these, the representation on the interface maps one-to-one to the most significant byte (MSB) first notation used in this specification.

Note that within this document, the 'Xh' prefix indicates hexadecimal integer notation, i.e. not reflecting the byte order representation on the command interface at all.

8.4 ISO/IEC7816-4 Communication frame

NT4H2421Gx uses ISO/IEC 7816-4 [4] type APDUs for command-response pair for both, wrapping of native commands as outlined in <u>Section 8.3</u> and standard ISO/IEC 7816-4 commands.

Note that for all parameters of standard ISO/IEC 7816-4 commands, the representation on the interface is most significant byte (MSB) first notation. As data like the 2-byte ISO/IEC 7816-4 file identifiers, are in different order for the wrapped native commands, this needs to be taken into account.

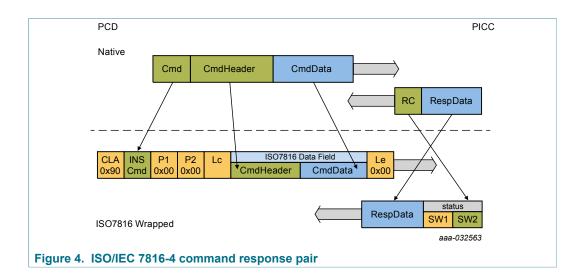


Table 16. ISO/IEC 7816-4 command fields

| Field | Description | Length |
|--------------------|--|--------|
| Command header | Class byte (CLA) | 1 |
| | Instruction (INS) | 1 |
| | Parameters (P1,P2) | 2 |
| Lc field | Length of command data field (Lc), absent if no data field is present | 1 |
| Command data field | Absent if no data is sent in the command | Lc |
| Le field | Expected response length. If Le is 00h, then all available data is sent back for ISO/IEC 7816-4 standard commands. For wrapped commands, Le must always be set to 00h. | 1 |

Note that the maximum number of bytes in the command data field, indicated by Lc, cannot exceed 255 bytes since only short length (1 byte) is supported in NT4H2421Gx, see [4]. This includes overhead for secure messaging.

The maximum number of bytes in the response data field, indicated by Le, cannot exceed 256 bytes since only short length (1 byte) is supported in NT4H2421Gx, see [4]. This includes overhead for secure messaging.

Table 17. ISO/IEC 7816-4 response fields

| Field | Description | Length |
|---------------------|--|----------|
| Response data field | Response data if any, absent if no response data | up to Le |
| Response trailer | status byte (SW1SW2) | 2 |

The field length and presence might vary for different commands, refer to the specific command description in <u>Section 10</u>.

8.5 Command Chaining

NT4H2421Gx supports standard ISO/IEC 14443-4 [3] command chaining in the following cases:

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- the PICC supports ISO/IEC 14443-4 chaining to allow larger command or response frames than the supported buffer size for variants of the following commands:
 - Native commands wrapped into ISO/IEC 7816-4 APDU: <u>ReadData</u>, <u>WriteData</u>, see Section 10.
 - Standard ISO/IEC 7816-4 commands: <u>ISOReadBinary</u>, <u>ISOUpdateBinary</u>
 i.e. every command where larger frame size can occur.
- the PICC will automatically split a response in several frames to fit with the FSD frame size supported by the PCD and communicated in the RATS.

When a PCD applies ISO/IEC 14443-4 chaining, see [3], it needs to assure the reassembled INF field containing the command header (i.e. ISO/IEC 7816-4 header bytes and/or (Cmd || CmdHeader)) fits within the PICC's buffer (FSC) communicated in the ATS. If not, the PICC may respond with LENGTH_ERROR.

The ISO/IEC 14443-4 chaining does not influence the secure messaging. This means that the secure messaging mechanisms are applied as if the command or response would have been sent in a single large frame. With regards to command execution, commands are handled as if they were received in one large frame, except for write commands where the total frame size can be larger than the supported FSC (WriteData and ISOUpdateBinary). In this case, command execution is started before the complete command is received.

Note that this is important for StandardData files, where the file may end up in an undefined state if the command is not completed successfully, see <u>Section 8.6</u>.

8.6 Backup management

NT4H2421Gx supports hardware based anti-tearing on single frame write operations. This means that blocks up to 128 bytes are either completely written of not changed at all.

8.7 Product originality

NT4H2421Gx supports two types of originality check: one based on an LRP authentication with AES originality keys and another one with a static signature verification with an ECC public key. For detail of the ECC originality check, refer to originality check commands.

The AES-based originality verification using <u>AuthenticateLRPFirst</u> or <u>AuthenticateLRPNonFirst</u> is done with one of the <u>OriginalityKey</u> described in <u>Section 8.2.4</u>.

9 Secure Messaging

Prior to data transmission a mutual three-pass authentication can be done between PICC and PCD which results in the generation of session keys used in the secure messaging.

There are three secure messaging types available in the NT4H2421Gx including Secure Dynamic Messaging. One is using AES-128 and is referred to as AES mode in this data sheet. The other one is using AES-128 with a Leakage Resilient Primitive (LRP) wrapper, also referred to as LRP mode. The LRP mode can be permanently enabled using the SetConfiguration command. After this switch, it is not possible to revert back to AES mode.

Compared to AES mode, the LRP mode has the advantage that it provides strong resistance against side-channel and fault attacks. It serves as a replacement for AES as it only uses standard cryptographic constructions based on AES without any proprietary cryptography. Thus, LRP can be seen as an alternative for AES which is itself based on AES, and is provably as secure as AES, but comes with better properties w.r.t. implementation security, see also [10]. The PCD requires the same LRP mode implementation.

To improve the resistance against side-channel attacks and especially card only attacks for the AES mode, NT4H2421Gx provides a limit for unsuccessful authentication attempts. Every unsuccessful authentication is counted in the TotFailCtr. The parameters TotFailCtrLimit TotFailCtrDecr can be configured as described in Section 10.5.1 using the "Failed authentication counter configuration".

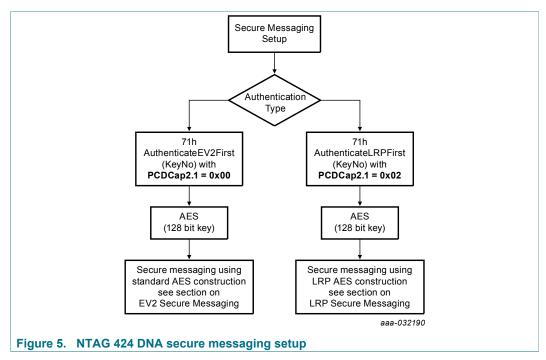
Each unsuccessful authentication is counted internally in the total failed authentication counter TotFailCtr. After reaching the TotFailCtrLimit, see <u>Section 10.5.1</u>, the related key cannot be used for authentication anymore.

In addition, after reaching a limit of *consecutive* unsuccessful authentication attempts, the NT4H2421Gx starts to slow down the authentication processing in order to hamper attacks. This is done by rejecting any authentication command with a AUTHENTICATION_DELAY response. The response time of a single AUTHENTICATION_DELAY response is depending on the FWT, see Section 8.1.1, and is about 65% of the maximum response time specified by FWT. The error response is sent until the total authentication delay time is reached which is equal to the sum of the frame delay times. The total authentication delay time increases with each unsuccessful authentication attempt up to a maximum value, only a successful authentication restores the full operational speed.

Changing a blocked AES key by authenticating with the AppMasterKey and using the ChangeKey command makes the referenced key accessible again. If the AppMasterKey itself is blocked, no recovery is possible.

Each successful AES authentication decrements the TotFailCtr by a value of TotFailCtrDecr.

The AES and LRP authentications are initiated by commands sharing the same command code (First Authentication and Non-First Authentication variants). These authentication protocols are both AES-based, but differ with regards to the actual protocol applied and the subsequent secure messaging mode they initiate. An overview of the different modes is given in Figure 5.



A First Authentication can be executed at any time whether the PICC is in authenticated or not authenticated state.

The Non-First Authentication can only be executed while the card is in authenticated state after a successful First or Non-First Authentication.

Correct application of First Authentication and Non-First Authentication allows to cryptographically bind all messages within a transaction together by the transaction identifier established in a First Authentication, see <u>Section 9.1.1</u>, and a command counter, see <u>Section 9.1.2</u>, even if multiple authentications are required.

The following table specifies when to authenticate using First Authentication and when to use Non-First Authentication.

Table 18. When to use which authentication command

| Purpose | First Authentication | Non-First Authentication | | |
|---|----------------------------------|------------------------------|--|--|
| First authentication (i.e. when not in any authenticated state) with any key different from OriginalityKey | Allowed | Not Allowed | | |
| Subsequent authentication (i.e. when in any authenticated state) with any key different from OriginalityKey | Allowed, recommended not to use. | Allowed, recommended to use. | | |
| Any LRP authentication with OriginalityKey | Allowed | Allowed | | |

The <u>AuthenticateEV2First</u> initiates a standard AES authentication and secure messaging, see <u>Section 9.1</u>. The other variant <u>AuthenticateLRPFirst</u> initiates an AES authentication and secure messaging based on the Leakage Resilient Primitive (LRP), see <u>Section 9.2</u>.

The negotiation between those two variants is done using the capabilities of the First Authentication and the return message of the first part, where a PCD can distinguish between standard AES authentication and LRP authentication based on the message length.

On First Authentication, the PCD can choose between AES and LRP secure messaging by setting bit 1 of PCDCap2.1 in the issued command. The PD is configured for either AES or LRP secure messaging by the setting of bit 1 from PDCap2.1. This setting is defined with SetConfiguration, see SetConfiguration, see SetConfiguration <a hre

If the PCD chooses for AES secure messaging, it sends PCDCap2.1 equaling 00h (or no PCDCap2 at all). A NT4H2421Gx will accept the authentication if its PDCap2.1 bit 1 is not set, i.e. the NT4H2421Gx is configured for AES secure messaging. The command is interpreted as AuthenticateEV2First, see Section 9.1.5 for detailed specification. If PDCap2.1 bit 1 is set, i.e. the NT4H2421Gx is configured for LRP secure messaging, the authentication request is rejected.

If the PCD chooses for LRP secure messaging, it sends PCDCap2.1 equaling 02h. NTAG 424 DNA will accept the authentication if its PDCap2.1 bit 1 is set, i.e. the NT4H2421Gx is configured for LRP secure messaging. The command is interpreted as AuthenticateLRPFirst and replied with 18 bytes, i.e. ADDITIONAL_FRAME, followed by an additional AuthMode indicating LRP secure messaging, and 16 bytes of data, see Section 10.4.3 for detailed specification. If PDCap2.1 bit 1 is not set, i.e. the NT4H2421Gx is configured for AES secure messaging, the authentication request is also accepted, but responded with 17 bytes, i.e. the AuthenticateEV2First response composed of ADDITIONAL_FRAME, followed by 16 bytes of data, allowing the PCD to fall back to standard AES authentication as well.

With Non-First Authentication, the PCD cannot choose between standard AES and LRP. If authenticated using AES mode, <u>AuthenticateEV2NonFirst</u> will be applied, see <u>Section 9.1.6</u>. If authenticated with LRP mode, <u>AuthenticateLRPNonFirst</u> will be applied, see <u>Section 10.4.4</u>. If not authenticated at all, e.g. if targeting one of the originality keys, only <u>AuthenticateLRPNonFirst</u> is supported.

Below table provides possible negotiation outcomes on FirstAuthentication.

Table 19. Secure messaging mode negotiation

| PCD | | PD | | | | |
|--|---------------|----------------------|--|-----------------|--|--|
| Mode | PCDCa p2.1 | PDCap2. (Mode) | RC | resp PDCap2. | resp PCDCap | comment |
| Requesting EV2 Secure Messaging | 00h | 00h (AES) | ADDITIONAL_ FRAME: 17-byte response without AuthMode | 00h | 00h | Matching, AES SM accepted and selected |
| | | 02h (LRP) | PERMISSION_ DENIED | N/A | N/A | No match, AES SM rejected |
| Requesting 02h LRP Secure Messaging | 00h (AES) | ADDITIONAL_ FRAME | 00h | 02h | No match, PD replies with AES response, allowing a PCD to fall back. | |
| | | 02h (LRP) | ADDITIONAL_ FRAME: 18-byte response with AuthMode set to 01h | 02h | 02h | Matching, LRP SM accepted and selected |

9.1 AES Secure Messaging

The AES Secure Messaging is managed by <u>AuthenticateEV2First</u> and <u>AuthenticateEV2NonFirst</u>.

Note that <u>AuthenticateEV2First</u> and <u>AuthenticateEV2NonFirst</u> can also be used to start LRP Secure Messaging, as defined in <u>Section 9.2</u>. This is done with the PCDCap2 sent in First Authentication and the return code, see <u>Section 9 and Section 9.2.5</u> for details.

9.1.1 Transaction Identifier

In order to avoid interleaving of transactions from multiple PCDs toward one PICC, the Transaction Identifier (TI) is included in each MAC that is calculated over commands or responses. The TI is generated by the PICC and communicated to the PCD with a successful execution of an AuthenticateEV2First command, see Section 10.4.1. The size is 4 bytes and these 4 bytes can hold any value. The TI is treated as a byte array, so there is no notion of MSB and LSB.

9.1.2 Command Counter

A command counter is included in the MAC calculation for commands and responses in order to prevent e.g. replay attacks. It is also used to construct the Initialization Vector (IV) for encryption and decryption.

Each command, besides few exceptions, see below, is counted by the command counter CmdCtr which is a 16-bit unsigned integer. Both sides count commands, so the actual value of the CmdCtr is never transmitted. The CmdCtr is reset to 0000h at PCD and PICC after a successful AuthenticateEV2First authentication and it is maintained as long as the PICC remains authenticated. In cryptographic calculations, the CmdCtr is represented LSB first. Subsequent authentications using AuthenticateEV2NonFirst do not affect the CmdCtr. Subsequent authentications using the AuthenticateEV2NonFirst do not affect the CmdCtr. Subsequent authentications using the AuthenticateEV2NonFirst do not affect the CmdCtr to 0000h. The CmdCtr is increased between the command and response, for all communication modes.

When a MAC on a command is calculated at PCD side that includes the CmdCtr, it uses the current CmdCtr. The CmdCtr is afterwards incremented by 1. At PICC side, a MAC appended at received commands is checked using the current value of CmdCtr. If the MAC matches, CmdCtr is incremented by 1 after successful reception of the command, and before sending a response.

For CommMode.Full, the same holds for both the MAC and encryption IV calculation, i.e. the non-increased value is used for the command calculations while the increased value is used for the response calculations.

If the CmdCtr holds the value FFFFh and a command maintaining the active authentication arrives at the PICC, this leads to an error response and the command is handled like the MAC was wrong.

Command chaining, see <u>Section 8.5</u>, does not affect the counter. The chained command is considered as a single command, just as for the other aspects of secure messaging, and thus the related counter is increased only once.

9.1.3 MAC Calculation

MACs are calculated using the underlying block cipher according to the CMAC standard described in [6]. Padding is applied according to the standard.

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The MAC used in NT4H2421Gx is truncated by using only the 8 even-numbered bytes out of the 16 bytes output as described [6] when represented in most-to-least-significant order.

Initialization Vector for MACing

The initialization vector used for the CMAC computation is the zero byte IV as prescribed [6].

9.1.4 Encryption

Encryption and decryption are calculated using AES-128 according to the CBC mode of NIST SP800-38A [5].

Padding is applied according to Padding Method 2 of ISO/IEC 9797-1 [7], i.e. by adding always 80h followed, if required, by zero bytes until a string with a length of a multiple of 16 byte is obtained. Note that if the plain data is a multiple of 16 bytes already, an additional padding block is added. The only exception is during the authentication itself (AuthenticateEV2First and AuthenticateEV2NonFirst), where no padding is applied at all.

The notation E(key, message) is used to denote the encryption and D(key, message) for decryption.

Initialization Vector for Encryption

When encryption is applied to the data sent between the PCD and the PICC, the Initialization Vector (IV) is constructed by encrypting with SesAuthENCKey according to the ECB mode of NIST SP800-38A [5] the concatenation of:

- a 2-byte label, distinguishing the purpose of the IV: A55Ah for commands and 5AA5h for responses
- Transaction Identifier <a>TI
- Command Counter CmdCtr (LSB first)
- Padding of zeros acc. to NIST SP800-38B [6]

This results in the following IVs:

IV for CmdData = E(SesAuthENCKey; A5h || 5Ah || TI || CmdCtr || 0000000000000000)

IV for RespData = E(SesAuthENCKey:5Ah || A5h || TI || CmdCtr || 0000000000000000)

When an encryption or decryption is calculated, the $\underline{\mathsf{CmdCtr}}$ to be used in the IV are the current values. Note that this means that if $\underline{\mathsf{CmdCtr}} = n$ before the reception of a command, after the validation of the command $\underline{\mathsf{CmdCtr}} = n + 1$ and that value will be used in the IV for the encryption of the response.

For the encryption during authentication (both <u>AuthenticateEV2First</u> and <u>AuthenticateEV2NonFirst</u>), the IV will be 128 bits of 0.

9.1.5 AuthenticateEV2First Command

This section defines the Authentication, which is mandatory to be used first in a transaction when using Secure Messaging, see <u>Table 18</u>. In this procedure both, the PICC as well as the PCD show in an encrypted way that they possess the same secret, i.e. the same key. This authentication is supported with AES keys.

The authentication consists of two parts: <u>AuthenticateEV2First</u> - Part1 and <u>Section 9.1.6</u> - Part2. Detailed command definition can be found in <u>Section 10.4.1</u>. The protocol cannot

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be interrupted by other commands. On any command different from <u>AuthenticateEV2First</u> - Part2 received after the successful execution of the first part, the PICC aborts the ongoing authentication.

During this authentication phase, the PICC accepts messages from the PCD that are longer than the lengths derived from this specification as long as LenCap is correct. This feature is to support the upgradability to following generations of NT4H2421Gx. The PCD rejects answers from the PICC when they don't have the proper length. Note that if present, PCDcap2:1:Bit1 must not be set, otherwise LRP authentication is targeted, see Section 9.2.5.

Upon reception of <u>AuthenticateEV2First</u>, the PICC validates the targeted key. If the key does not exist, <u>AuthenticateEV2First</u> is rejected.

The PICC generates a random 16-byte challenge *RndB* and send this encrypted to the PCD, according to <u>Section 9.1.4</u>. Additionally, the PICC resets CmdCtr to zero and generate a random Transaction Identifier (TI).

Upon reception of the <u>AuthenticateEV2First</u> response from the PICC, the PCD also generates a random 16-byte challenge *RndA*. The PCD encrypts, on his turn, the concatenation of *RndA* with *RndB'*, which is the received challenge after decryption and rotating it left by one byte. Within <u>AuthenticateEV2First</u> - Part2, this is sent to the PICC. Upon reception of <u>AuthenticateEV2First</u> - Part2, the PICC decrypts the second message and validates the received *RndB'*. If not as expected, the command is rejected. Else it generates *RndA'* by rotating left the received *RndA* by one byte. This is returned together with the generated TI. Also, the PICC sends 12 bytes of capabilities to the PCD: 6 bytes of PICC capabilities PDcap2 and 6 bytes of PCD capabilities PCDcap2 that were received on the command (sent back for verification).

The use of those capabilities, and the negotiation process is described in <u>Section 9</u>. Note that part of PDCap will be configurable with <u>SetConfiguration</u>. PCDcap2 is used to refer both to the value sent from the PCD to the PICC and to the value used in the encrypted response message from the PICC to the PCD where in this case the PCDcap2 is the adjusted version of the originally sent PCDcap2: i.e. truncated or padded with zero bytes to a length of 6 bytes if needed.

On successful execution of the authentication protocol, the session keys SesAuthMACKey and SesAuthENCKey are generated according to Section 9.1.7. The PICC is in EV2 authenticated state and the Secure Messaging is activated. On any failure during the protocol or if one of the OriginalityKey were targeted, the PICC ends up in not authenticated state.

If there is a mismatch between the capabilities expected by the PCD and the capabilities presented by the PICC to the PCD (both the PDcap2 and the echoed/adjusted PCDcap2), it is the responsibility of the PCD to take the proper actions based on the application the PCD is running. This decision is outside the scope of this specification.

9.1.6 Authenticate EV2Non First Command

This section defines the Non-First Authentication, which is recommended to be used if Secure Messaging is already active, see <u>Table 18</u>. In this procedure both, the PICC as well as the PCD show in an encrypted way that they possess the same secret, i.e. the same key. This authentication is supported with AES keys.

The authentication consists of two parts: <u>AuthenticateEV2NonFirst</u> - Part1 and <u>AuthenticateEV2NonFirst</u> - Part2. Detailed command definition can be found in <u>Section 10.4.2</u>. This command is rejected if there is no active authentication, except if the

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targeted key is the OriginalityKey. For the rest, the behavior is exactly the same as for AuthenticateEV2First, except for the following differences:

- No PCDcap2 and PDcap2 are exchanged and validated.
- Transaction Identifier II is not reset and not exchanged.
- · Command Counter CmdCtr is not reset.

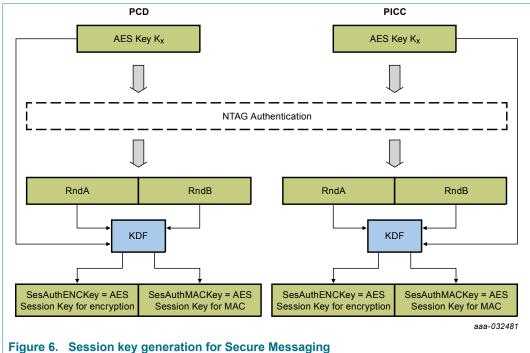
After successful authentication, the PICC remains in EV2 authenticated state. On any failure during the protocol, the PICC ends up in not authenticated state.

9.1.7 Session Key Generation

At the end of a valid authentication with AuthenticateEV2First or AuthenticateEV2NonFirst, both the PICC and the PCD generate two session keys for secure messaging, as shown in Figure 6:

- SesAuthMACKey for MACing of messages
- SesAuthENCKey for encryption and decryption of messages

Note that these identifiers are also used in context of the LRP protocol, though the actual calculation of the session keys is different, see Section 9.2.7.



The session key generation is according to NIST SP 800-108 [8] in counter mode.

The Pseudo Random Function PRF(key; message) applied during the key generation is the CMAC algorithm described in NIST Special Publication 800-38B [6]. The key derivation key is the key Kx that was applied during authentication. As the authentications are restricted to target AES keys, the generated session keys are also of AES.

The input data is constructed using the following fields as defined by [8]. Note that NIST SP 800-108 allows defining a different order than proposed by the standard as long as it is unambiguously defined.

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- a 2-byte label, distinguishing the purpose of the key: 5AA5h for MACing and A55Ah for encryption
- a 2-byte counter, fixed to 0001h as only 128-bit keys are generated.
- a 2-byte length, fixed to 0080h as only 128-bit keys are generated.
- a 26-byte context, constructed using the two random numbers exchanged, RndA and RndB

First, the 32-byte input session vectors SV_x are derived as follows:

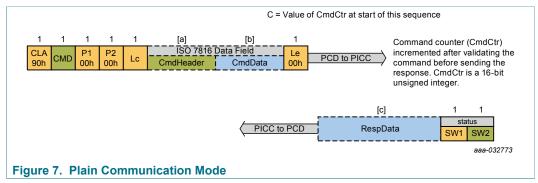
```
SV1 = A5h||5Ah||00h||01h||00h||80h||RndA[15..14]|| \\ (RndA[13..8] \# RndB[15..10])||RndB[9..0]||RndA[7..0] \\ SV2 = 5Ah||A5h||00h||01h||00h||80h||RndA[15..14]|| \\ (RndA[13..8] \# RndB[15..10])||RndB[9..0]||RndA[7..0] \\ \text{with $\#$ being the XOR-operator.}
```

Then, the 16-byte session keys are constructed as follows:

```
SesAuthENCKey = PRF(K_x, SV1)
SesAuthMACKey = PRF(K_x, SV2)
```

9.1.8 Plain Communication Mode

The command and response data is not secured. The data is sent in plain, see <u>Figure 7</u>, i.e. as defined in the command specification tables, see <u>Section 10</u>.



However, note that, as the PICC is in authenticated state (EV2 authenticated state or LRP authenticated state), the command counter CmdCtr is still increased as defined in Section 9.1.2.

This allows the PCD and PICC to detect any insertion and/or deletion of commands sent in CommMode.Plain on any subsequent command that is sent in CommMode.MAC (e.g. CommitTransaction) or CommMode.Full.

9.1.9 MAC Communication Mode

The Secure Messaging applies MAC to all commands listed as such in Section 10.2.

In case MAC is to be applied, the following holds. The MAC is calculated using the current session key SesAuthMACKey. MAC calculation is done as defined in Section 9.1.3.

For commands, the MAC is calculated over the following data (according to the definitions from <u>Section 8.3</u>) in this order:

· Command, Cmd

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- Command Counter CmdCtr
- Transaction Identifier TI
- Command header CmdHeader (if present)
- Command data CmdData (if present)

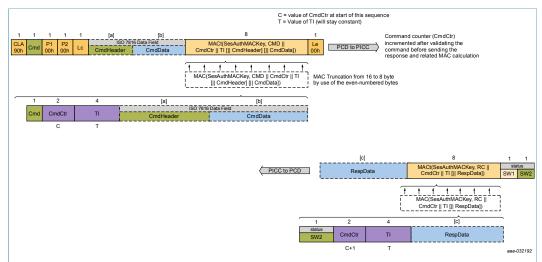
For responses, the MAC is calculated over the following data in this order:

- · Return code RC
- Command Counter CmdCtr (The already increased value)
- Transaction Identifier TI
- Response data RespData (if present)

CmdCtr is the Command Counter as defined in <u>Section 9.1.2</u>. Note that the CmdCtr is increased between the computation of the MAC on the command and the MAC on the response. TI is the Transaction Identifier, as defined in <u>Section 9.1.1</u>. The other input parameters are as defined in <u>Section 8.3</u>. The calculation is illustrated in <u>Figure 8</u>.

In case of command chaining, the MAC calculation is not interrupted. The MAC is calculated over the data including the complete data field (i.e. either CmdData or RespData of all frames) at once. The MAC is always transmitted by appending to the unpadded plain command. If necessary, an additional frame is sent. If a MAC over the command is received, the PICC verifies the MAC and rejects commands that do not contain a valid MAC by returning INTEGRITY ERROR.

In this case, the ongoing command and transaction are aborted (see also <u>Section 10</u>). The authentication state is immediately lost and the error return code is sent without a MAC appended. Note that any other error during the command execution has the same consequences.



CLA, P1, P2, LC, Le and SW1 not included in secure messaging calculation. SW2 is the return code (RC) and appended in the beginning for secure messaging calculation

Figure 8. Secure Messaging: MAC Communication mode

9.1.10 Full Communication Mode

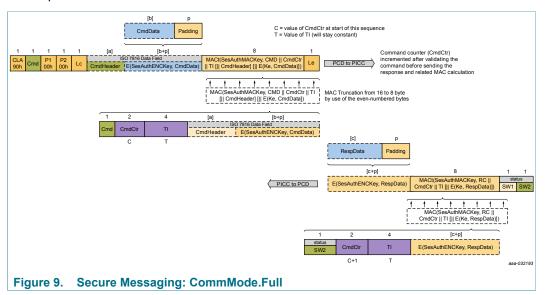
The Secure Messaging applies encryption (CommMode.Full) to all commands listed as such in <u>Section 10.2</u>. In case CommMode.Full is to be applied, the following holds. The encryption/decryption is calculated using the current session key SesAuthENCKey. Calculation is done as defined in <u>Section 9.1.4</u> over either the command or the response

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data field (i.e. CmdData or RespData). Note that none of the commands have a data field in both the command and the response frame.

After the encryption, the command and response frames are handled as with MAC. This means that additionally a MAC is calculated and appended for transmission using the current session key SesAuthMACKey. This is exactly done as specified for MAC in Section 9.1.9, replacing the plain CmdData or RespData by the encrypted field: E(SesAuthENCKey; CmdData) or E(SesAuthENCKey; RespData). The complete calculation is illustrated in Figure 9. In case of command chaining, the encryption/decryption is applied over the complete data field (i.e. of all frames). If necessary, due to the padding or the MAC added, an additional frame is sent. If encryption of the command is required, after the MAC verification as described for MAC, the PICC verifies and removes the padding bytes. Commands without a valid padding are also rejected by returning INTEGRITY_ERROR.

In this case, the ongoing command and transaction are aborted (see also <u>Section 10</u>). The authentication state is immediately lost and the error return code is sent without a MAC appended. Note that any other error during the command execution has the same consequences.



9.2 LRP Secure Messaging

The LRP Secure Messaging is using AES-128 to construct a Leakage Resilient Primitive. This way, it allows side-channel resistant implementation.

Like the AES secure messaging, this secure messaging mode is managed by commands with the same command code as <u>AuthenticateEV2First</u> and <u>AuthenticateEV2NonFirst</u>. To distinguish and ease the descriptions, they are renamed for the LRP case into <u>AuthenticateLRPFirst</u> and <u>AuthenticateLRPNonFirst</u>. The recommendations of <u>Section 9</u> on when to use one or the other command also apply for LRP secure messaging.

9.2.1 Transaction identifier

The Transaction Identifier (TI) is treated exactly in the same way by LRP secure messaging as defined for AES secure messaging, see <u>Section 9.1.1</u>.

9.2.2 Command counter

The Command counter (CmdCtr) is treated exactly in the same way by LRP secure messaging as defined for AES secure messaging, see <u>Section 9.1.2</u>.

9.2.3 MAC calculation

MACs are computed by using a CMAC construction on top of the LRP primitive. This is specified in [10]. This document uses the following notation where the right hand refers to the notation of [10].

 $MAC_{LRP}(key, message) = CMAC\ LRP(4, key, Len(message), message)$

Note that in the LRP context a key is not purely a single value, but rather consists of the associated set of plain texts, an updated key and in context of CMAC also the subkeys K1 and K2. Therefore K1 and K2 are not shown (contrary to [10]) as they can be calculated inside.

MACt_{LRP}(*key, message*) denotes the CMAC after truncation to 8 bytes which is identical to the truncation of the AES secure messaging i.e. the even-numbered bytes are retained in most-to-least-significant order, see <u>Section 9.1.3</u>.

The initialization vector used for the CMAC computation is the zero byte IV as prescribed [10].

9.2.4 Encryption

Encryption and decryption are calculated using a Leakage Resilient Indexed CodeBook (LRICB) construction on top of the LRP primitive: *LRICB*of [10].

For this purpose an Encryption Counter is maintained: EncCtr is a 32-bit unsigned integer as Input Vector (IV) for encryption/decryption. The EncCtr is reset to 000000000h at PCD and PICC when starting an authentication with <u>AuthenticateLRPFirst</u> or <u>AuthenticateLRPNonFirst</u> targeting LRP. The counter is incremented during each encryption/decryption of each 16-byte block. i.e. for 64-byte encryption/decryption the EncCtr is increased by 5 due to 4 blocks of 16-byte of data plus one block of padding. Note that for <u>AuthenticateLRPFirst</u> the value 00000000h is already used for the response of part 2, so the actual secure messaging starts from 00000001h. For <u>AuthenticateLRPNonFirst</u>, secure messaging starts from 00000000h as the counter is not used during the authentication. EncCtr is further maintained as long as the PICC remains in LRP authenticated state. Note that for the key stream calculation [10], the counter is represented MSB first.

Padding is applied according to Padding Method 2 of ISO/IEC 9797-1 [7], i.e. by adding always 80h followed, if required, by zero bytes until a string with a length of a multiple of 16 bytes is obtained. Note that if the plain data is a multiple of 16 bytes already, an additional padding block is added. The only exception is during the authentication itself (AuthenticateLRPFirst and AuthenticateLRPNonFirst), where no padding is applied at all.

The notation $E_{LRP}(key, plaintext)$ is used to denote the encryption, i.e. LRICBEnc of [10] and $D_{LRP}(key, ciphertext)$ for the complementary decryption operation. Note that in the LRP context a key is not purely a single value, but rather consists of the associated set of plain texts and updated key. Also, as specified in [10], the EncCtr is updated as part of the operation.

Note that the EncCtr cannot overflow. Due to the supported file sizes, the CmdCtr will always expire before.

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Note that the MSB representation of EncCtr is different from other counter representations in this specification, but allows saving some AES calculations in the key stream generation.

9.2.5 AuthenticateLRPFirst command

The <u>AuthenticateLRPFirst</u> command reuses the same command code as <u>AuthenticateEV2First</u>. The distinction is made via the PCDCap2.1 parameter, as explained in <u>Section 9</u>.

The <u>AuthenticateLRPFirst</u> command is fully compliant with the mutual three-pass authentication of ISO/IEC 9798-4 [7].

The authentication consists of two parts: <u>AuthenticateLRPFirst</u> - Part1 and <u>AuthenticateLRPFirst</u> Part2. Detailed command definition can be found in Section 10.4.3.

The protocol cannot be interrupted by other commands. On any command different from AuthenticateLRPFirst - Part2 received after the successful execution of the first part, the PICC aborts the ongoing authentication.

During this authentication phase, the PICC accepts messages from the PCD that are longer than the lengths derived from this specification as long as *LenCap* is correct. This feature is to support the upgradability to following generations of NTAG 424 DNA.

Apart from bit 1 of PCDCap2.1, which need to be set to 1 for <u>AuthenticateLRPFirst</u> resulting into 02000000000h, the content of PCDCap2 is not interpreted by the PICC. The PCD rejects answers from the PICC when they don't have the proper length.

Upon reception of <u>AuthenticateLRPFirst</u>, the PICC validates the targeted key. If the key does not exist, <u>AuthenticateLRPFirst</u> is rejected. At PICC level, the only available key is the OriginalityKey.

The PICC generates a random 16-byte challenge *RndB* and send this in plain to the PCD. Additionally, the PICC and PCD reset both CmdCtr and EncCtr to zero and generate a random TI.

Upon reception of the <u>AuthenticateLRPFirst</u> response from the PICC, the PCD also generates a random 16-byte challenge *RndA*. Now the PCD calculates the session keys SesAuthMACKey and SesAuthENCKey, as specified in <u>Section 9.2.7</u>. As explained there for LRP, a session key consists of a set of plain texts and an updated key.

Then the PCDResponse computes a MAC over the concatenation of *RndA* with *RndB*, applying the SesAuthMACKey with the algorithm defined in <u>Section 9.2.3</u>. Note that MACs are not truncated during the authentication. Within <u>AuthenticateLRPFirst</u> - Part2, the concatenation of *RndA* and this MAC is sent to the PICC.

Upon reception of <u>AuthenticateLRPFirst</u> - Part2, the PICC validates the received MAC. If not as expected, the command is rejected. Else it encrypts the generated TI concatenated with 12 bytes of capabilities to the PCD: 6 bytes of PICC capabilities *PDCap2* and 6 bytes of PCD capabilities *PCDCap2* that were received on the command (sent back for verification). Encryption is done according to <u>Section 9.2.4</u>, applying SesAuthENCKey.

Note that part of PDCap is configurable with <u>SetConfiguration</u>. *PCDCap2* is used to refer both to the value sent from the PCD to the PICC and to the value used in the encrypted response message from the PICC to the PCD where in this case the *PCDCap2* is the adjusted version of the originally sent *PCDCap2*: i.e. truncated or padded with zero bytes to a length of 6 bytes if needed. After that encryption, the PICCResponse will also compute a MAC over the concatenation of *RndB*, *RndA* and the encrypted data.

9.2.6 AuthenticateLRPNonFirst command

This section defines the LRP Non-First Authentication, which is recommended to be used if LRP Secure Messaging is already active, see <u>Table 18</u>.

The authentication consists of two parts: <u>AuthenticateLRPNonFirst</u> - Part1 and <u>AuthenticateLRPNonFirst</u> Part2. Detailed command definition can be found in Section 10.4.4.

This command is rejected if there is no active LRP authentication, except if the targeted key is the OriginalityKey.

For the rest, the behavior is exactly the same as for <u>AuthenticateLRPFirst</u>, except for the following differences:

- PCDCap2 and PDCap2 are not exchanged and validated
- · TI is not reset and not exchanged
- · CmdCtr is not reset

Note that EncCtr is reset to zero also on AuthenticateLRPNonFirst.

After successful authentication, the PICC remains in LRP authenticated state, except if the <u>OriginalityKey</u> was targeted. In that case, the PICC is in not authenticated state. On any failure during the protocol, the PICC ends up in not authenticated state.

9.2.7 Session key generation

Next to the algorithms for MAC calculation and encryption, one of the major differences between the LRP secure messaging and the AES secure messaging is that the session keys are generated and already applied during the authentication with AuthenticateLRPFirst or AuthenticateLRPNonFirst.

Also for the LRP protocol, two keys are generated:

- · SesAuthMACKey for MACing of messages
- SesAuthENCKey for encryption and decryption of messages

During the authentication, the SesAuthMACKey is used for both <u>AuthenticateLRPFirst</u> and <u>AuthenticateLRPNonFirst</u>. SesAuthENCKey is only used for AuthenticateLRPFirst.

Being LRP keys, this section shows how both the plain texts and the updated key [10] related to these session keys are computed. In the remainder of the document, when the session key is applied in the LRP context the combination of those plain texts and updated key is meant.

The session key generation is according to NIST SP 800-108 [8] in counter mode.

The Pseudo Random Function *PRF(key; message)* applied during the key generation is the CMAC algorithm on top of the LRP primitive. This is specified in [10], see also Section 9.2.3. The key derivation key is the key *Kx* that was applied during authentication. Note that from this key a set of plaintexts and updated key is computed, so the static key is only used in this derivation. The generated session keys are AES keys. The input data is constructed using the following fields as defined by [8]. Note that NIST SP 800-108 allows defining a different order than proposed by the standard as long as it is unambiguously defined.

• a 2-byte counter, fixed to 0001h as only 128-bit keys are generated

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- a 2-byte length, fixed to 0080h as only 128-bit keys are generated
- a 26-byte context, constructed using the two random numbers exchanged, RndA and RndB
- a 2-byte label: 9669h

Firstly, the 32-byte input session vector SV is derived as follows:

```
SV = 00h || 01h || 00h || 80h || RndA[15::14] || (RndA[13::8] # RndB[15::10]) || RndB[9::0] || RndA[7::0] || 96h || 69h with # being the XOR-operator.
```

Then, the session key material is constructed as follows:

```
AuthSPT = generatePlaintexts(4; K_x) \\ \{AuthUpdateKey\} = generateUpdatedKeys(1; K_x) \\ SesAuthMasterKey = MACLRP (K_x; SV) \\ SesAuthSPT = generatePlaintexts(4; SesAuthMasterKey) \\ \{SesAuthMACUpdateKey; SesAuthENCUpdateKey\} = generateUpdatedKeys(2; SesAuthMasterKey) \\ \}
```

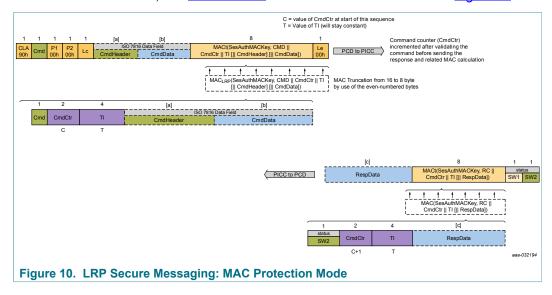
with *generatePlaintexts* and *generateUpdatedKeys* the functions from [10]. Note that the output of *generateUpdatedKeys* is shown in the order that the keys are generated. The actual SesAuthMACKey then consists for LRP of the set of plaintexts SesAuthSPT (consisting of 16 16-byte values) and SesAuthMACUpdateKey. The SesAuthENCKey consists of the same set of plaintexts SesAuthSPT and SesAuthENCUpdateKey.

9.2.8 Plain communication mode

For CommMode.Plain, command processing in LRP authenticated state is identical to AES secure messaging in EV2 authenticated state, see <u>Section 9.1.8</u>.

9.2.9 MAC communication mode

For MAC, apart from using the LRP MAC algorithm, as specified in <u>Section 9.2.3</u>, the command processing in LRP authenticated state is identical to AES secure messaging in EV2 authenticated state, see <u>Section 9.1.9</u>. The calculation is illustrated in <u>Figure 10</u>.



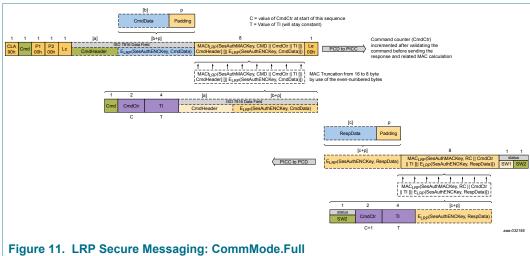
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9.2.10 Full communication mode

For CommMode.Full, apart from using the LRP encryption and MAC algorithm, as specified in <u>Section 9.2.4</u>, the command processing in LRP authenticated state is identical to AES secure messaging in EV2 authenticated state, see <u>Section 9.1.10</u>. This is as well illustrated in <u>Figure 11</u>.



9.3 Secure Dynamic Messaging

The Secure Dynamic Messaging (SDM) allows for confidential and integrity protected data exchange, without requiring a preceding authentication. NT4H2421Gx supports SDM for reading from one of the StandardData files on the PICC. Secure Dynamic Messaging allows adding security to the data read, while still being able to access it with standard NDEF readers. The typical use case is an NDEF holding a URI and some metadata, where SDM allows this meta-data to be communicated confidentiality and integrity protected toward a backend server.

When using SDM, residual risks coming with the Secure Dynamic Messaging for Reading have to be taken into account. As SDM allows free reading of the secured message, i.e. without any up-front reader authentication, anybody can read out the message. This means that also a potential attacker is able to read out and store one ore multiple messages, and play them at a later point in time to the verifier.

If this residual risk is not acceptable for the system's use case, the legacy mutual authentication (using challenge response protocol) and subsequent secure messaging should be applied. This would require using an own application and operating outside a standard NDEF read operation.

Other risk mitigation may be applied for SDM to limit the residual risk, without completely removing it:

- Track SDMReadCtr per tag at the verifying side. Reject SDMReadCtr values that have been seen before or that are played out-of-order. This is a minimum requirement any verifier should implement.
- Limit the time window of an attacker by requiring tags to be presented regularly (e.g. at least once a day) in combination with the previous mitigation.

Read out the SDM-protected file more than once. This does not protect against
attackers that have read out the valid tag also multiple times and play the received
responses in the same sequence.

9.3.1 SDM Read Counter

In order to allow replay detection by the party validating the data read, a read counter is associated with the file for which Secure Dynamic Messaging is enabled.

SDMReadCtr is a 24-bit unsigned integer. The SDMReadCtr is reset to 000000h when enabling SDM with <u>ChangeFileSettings</u>. In cryptographic calculations and represented with binary encoding on the external interface, the SDMReadCtr is represented LSB first. When represented with ASCII encoding on the contactless interface, it is represented MSB first. Note that this is in line with the NFC counter representation in [14]

In not Authenticated state, the SDMReadCtr is incremented by 1 before calculating the response of the first read command, ReadData or ISOReadBinary, if successful. On subsequent read commands targeting the same file, the SDMReadCtr is not increased, and the current value is used. As soon as a different command has been received, the counter is incremented again on a subsequent read command. Also when varying between ReadData and ISOReadBinary, the counter is incremented on each first instance of the read command type. Note that the SDMReadCtr is not incremented when authenticated.

If the SDMReadCtr reaches the SDMReadCtrLimit (see Section 9.3.2) or the value FFFFFFh (if SDMReadCtrLimit is not enabled) and a first read command arrives at the PICC, an error is being returned. Command chaining, see Section 8.5, does not additionally affect the counter increase. The chained command is considered as a single command.

SDMReadCtr can be retrieved via the mirroring as part of the PICCData, see Section 9.3.3, or it can be retrieved via GetFileCounters.

9.3.2 SDM Read Counter Limit

In order to allow limiting the number of reads that can be done with a single device applying Secure Dynamic Messaging, an optional SDM Read Counter Limit can be configured. There are two main use cases:

- limit the number of usages from the card side. Note that typically this can also be controlled from the backend verifying the SDM for Read protected message.
- limit the number of traces that can be collected on the symmetric crypto processing.
 This way potential side channel attacks can be mitigated, see also the Failed Authentication Counter feature for the mutual authentication. In this case, it is recommended to have the configured limit aligned with TotFailCtrLimit.

The number of reads that can be executed for an SDM configured file can be limited by setting an SDM Read Counter Limit (SDMReadCtrLimit). This is an unsigned integer of 3 bytes, related with SDMReadCtr. On the interface, the SDMReadCtrLimit is represented LSB first. The SDMReadCtrLimit can be enabled by setting a customized value with ChangeFileSettings. It can be retrieved with GetFileSettings.

Once the SDMReadCtr equals the SDMReadCtrLimit, no reading of the file with ReadData or ISOReadBinary in not authenticated state can be executed. Note that if authenticated, reading is always possible even if SDMReadCtrLimit is reached, applying the regular secure messaging. If the SDMReadCtrLimit is disabled with ChangeFileSettings, this is also equivalent to putting it to the maximum value: FFFFFFh.

9.3.3 PICCData

The PICCData holds metadata of the targeted PICC and file, consisting of the UID and/or the SDMReadCtr. Whether PICCData is transmitted in plain or encrypted depends on the configuration of the SDMMetaRead access rights on the file, see <u>Section 8.2.3.4</u>. If the SDMMetaRead access right is configured for free access (Eh), PICCData is plain and is defined according to Table 20.

ASCII mirroring is reflected by the function EncodeASCII(), which means that each hexadecimal character of the hexadecimal representation will be ASCII encoded using capitals. For example, the UID 04E141124C2880h becomes: 30h 34h 45h 31h 34h 31h 32h 34h 43h 32h 38h 30h.

Table 20. PICCData: plain encoding and lengths

| Mode | PICCData Value | Length with 7-byte UID |
|-------|-------------------------|---------------------------------|
| ASCII | EncodeASCII(UID) | UIDLength = 14 (i.e. 2*UIDLen) |
| ASCII | EncodeASCII(SDMReadCtr) | SDMReadCtrLength = 6 (i.e. 2*3) |

Note that the SDMReadCtr, as defined in <u>Section 9.3.1</u>, is represented MSB first for ASCII case. If the SDMMetaRead access right is configured for an application key, PICCData will be encrypted as defined in <u>Section 9.3.4</u>. In this case, the input plaintext for the encryption is always in binary encoding, while the output ciphertext will be ASCII encoded.

The PICCData is mirrored within the file. This is configured with ChangeFileSettings via the related offsets.

In case of plain mirroring (i.e. access right SDMMetaRead = Eh):

- UIDOffset configures the UID mirroring position. It is only given if UID mirroring is enabled.
- SDMReadCtrOffset configures the SDMReadCtr mirroring position. It is only given if SDMReadCtr mirroring is enabled. Note that it is possible to enable the SDMReadCtr but without mirroring by putting SDMReadCtrOffset to FFFFFFh. In this case it can be retrieved with the <u>GetFileCounters</u> command.

If UID and SDMReadCtr are mirrored within the file, they shall not overlap:

• UIDOffset ≥ SDMReadCtrOffset + SDMReadCtrLength OR SDMReadCtrOffset ≥ UIDOffset + UIDLength.

In case of encrypted mirroring (i.e. SDMMetaRead = 0h..4h), PICCDataOffset configures the PICCData mirroring. The encryption is outlined in Section 9.3.4.

If the PICCData is mirrored within the file, the mirroring shall always be applied in not authenticated state, independently of whether Secure Dynamic Messaging applies. This means it will also be applied if reading the file with free access due to Read or ReadWrite access right. Note that if authenticated, no mirroring is done, i.e. the regular secure messaging is always applied on the static file data.

With NT4H2421Gx, PICCData is always ASCII encoded.

When both the UID and SDMReadCtr are mirrored, "x" (78h) is used as a separator character with NTAG2x [14]. This can be achieved by leaving one byte space between the placeholders defined by UIDOffset and SDMReadCtrOffset, and writing "x" (78h) in the static file data.

9.3.4 Encryption of PICCData

In case of encrypted PICCData mirroring (both binary and ASCII), PICCDataTag specifies what metadata is mirrored, together with the length of the UID if mirrored, as defined in Table 21.

Table 21. PICCDataTag

| Bit | Value | Description | | | |
|--------|-------|------------------------------|--|--|--|
| Bit7 - | | UID mirroring | | | |
| | 0 | disabled | | | |
| | 1 | enabled | | | |
| Bit6 | - | SDMReadCtr mirroring | | | |
| | 0 | disabled | | | |
| | 1 | enabled | | | |
| Bit5-4 | 00 | RFU | | | |
| Bit3-0 | - | UID Length | | | |
| | 0h | RFU (if UID is not mirrored) | | | |
| | 7h | 7 byte UID | | | |

The format of the plain text is: PICCDataTag [|| UID] [|| SDMReadCtr].

To ensure that the encrypted PICCData cannot be abused for tracking purposes, random padding is added to the actual plain text input.

The random padding is generated for the response of the first read command, ReadData or ISOReadBinary. On subsequent read commands targeting the same file the same random padding is reused. This allows for reading the file in chunks, where a chunk border might even be in the middle of the encrypted PICCData. As soon as a different command has been received, fresh random padding is generated on a subsequent read command. Also when varying between ReadData and ISOReadBinary, fresh random padding is generated.

The key applied for encryption of PICCData is the <u>SDMMetaReadKey</u> as defined by the SDMMetaRead access right.

9.3.4.1 AES mode encryption

Encryption and decryption of the PICCData are calculated using the underlying block cipher according to the CBC mode of NIST SP800-38A [5], applying zero byte IV. Therefore PICCData is defined as follows:

PICCData = E(SDMMetaReadKey; PICCDataTag [|| UID] [|| SDMReadCtr] || RandomPadding)

with PICCDataTag as defined in <u>Section 9.3.3</u>, and RandomPadding being a random byte string generated by the PICC to make the input 16 bytes long. Note that because of the ASCII encoding the required placeholder length doubles.

9.3.4.2 LRP mode encryption

Encryption and decryption of the PICCData are calculated using a leakage resilient indexed codebook (LRICB) construction on top of the LRP primitive: LRICB of [14].

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For this operation, the LRP key material is constructed as follows:

SDMMetaReadSPT = generatePlaintexts(4: SDMMetaReadKey)

{SDMMetaReadUpdateKey} = generateUpdatedKeys(1: SDMMetaReadKey)

As input counter for the CTR construction, the 8-byte random PICCRand, generated by the PICC, is used. Apart from the counter applied, this is identical to the encryption used for LRP secure messaging, see <u>Section 9.2.4</u>.

Therefore PICCData is defined as follows:

 $PICCData = PICCRand || E_{LRP}$ (SDMMetaReadKey; PICCDataTag [|| UID] [|| SDMReadCtr] || RandomPadding)

with PICCDataTag as defined in <u>Section 9.3.3</u>, and PICCRand being an 8-byte long random byte string generated by the PICC. RandomPadding is applied like for AES mode.

The required placeholder length in the NDEF message is 48 bytes due to ASCII encoding.

Note that due to the different sizes of encrypted PICCData with AES mode and LRP mode, the Secure Dynamic Messaging and mirroring will be disabled when switching from AES mode to LRP mode with SetConfiguration.

9.3.5 SDMENCFileData

SDM for Reading supports mirroring (part of the) file data encrypted. This part is called the SDMENCFileData.

If the SDMFileRead access right is configured for an application key, part of the file data can optionally be encrypted as defined in <u>Section 9.3.6</u> when being read out in not authenticated state.

In this case, the input plaintext for the encryption is always in binary encoding, while the output ciphertext is ASCII encoded.

Note that if authenticated, no Secure Dynamic Messaging is applied, i.e. the regular secure messaging is always applied on the static file data.

The SDMENCFileData (if any) is always mirrored within the file. This is configured with ChangeFileSettings, see Section 10.7.1 via SDMENCOffset and SDMENCLength. If the SDMFileRead access right is disabling Secure Dynamic Messaging for reading (i.e. set to Fh), SDMENCOffset and SDMENCLength are not present in ChangeFileSettings.

If PICCData is mirrored within the file, SDMENCFileData shall not overlap with it. Depending on what is exactly mirrored, the following holds:

- SDMENCOffset ≥ PICCDataOffset + PICCDataLength OR PICCDataOffset ≥ SDMENCOffset + SDMENCLength.
- SDMENCOffset ≥ UIDOffset + UIDLength OR UIDOffset ≥ SDMENCOffset + SDMENCLength.
- SDMENCOffset ≥ SDMReadCtrOffset + SDMReadCtrLength OR SDMReadCtrOffset ≥ SDMENCOffset + SDMENCLength.

It shall be ensured that SDMENCOffset + SDMENCLength is smaller than or equal to the file size. Note that as the SDMMAC is as well mirrored into the file, additional conditions apply, see Section 9.3.7. The SDMENCLength shall be a multiple of 32 bytes for the ASCII encoding. With NT4H2421Gx, only ASCII encoding is supported.

9.3.6 Encryption of SDMENCFileData

The key applied for the encryption is a session key SesSDMFileReadENCKey derived from the application key defined by the SDMFileRead access right as specified in Section 9.3.9.

From user point of view, the SDMENCOffset and SDMENCLength define a placeholder within the file where the plain data is to be stored when writing the file.

For ASCII encoding, only the first half of the placeholder is used for storing the plain data, the second half is ignored for constructing the returned data when reading with SDM. For example, if targeting to encrypt 2 AES blocks, i.e. 32 bytes, a placeholder of 64 bytes is reserved via SDMENCOffset and SDMENCLength. The first 32 bytes hold the plaintext, and the next 32 bytes are ignored when reading with Secure Dynamic Messaging.

9.3.6.1 AES mode encryption

Encryption and decryption of the SDMENCFileData are calculated using the underlying block cipher according to the CBC mode of NIST SP800-38A [5]. The following IV is applied:

For applying SDM with ASCII encoding, the SDMENCFileData is defined as follows:

SDMENCFileData = E(SesSDMFileReadENCKey; StaticFileData[SDMENCOffset:: SDMENCOffset + SDMENCLength=2 - 1])

with StaticFileData being the current file data as written in the placeholder. The file configuration ensures via SDMENCLength that the input is a multiple of 16 bytes, so no padding is applied.

Note that it is possible via the read command parameters to read-only part of the file. If the SDMENCFileData is partially read as per the issued offset and length, a truncated part of the ciphertext will be returned. As truncation might happen in the middle of an AES block, this means subsequent read commands to fetch the remainder of the file might be required to be able to decrypt.

9.3.6.2 LRP mode encryption

Encryption and decryption of the SDMENCFileData are calculated using a leakage resilient indexed codebook (LRICB) construction on top of the LRP primitive: LRICB of [10].

As input counter for the CTR construction, a 6-byte counter is used, consisting of the concatenation of SDMReadCtr and three zero bytes: SDMReadCtr || 000000h. SDMReadCtr is LSB first. After concatenation the 6-byte are treated as unsigned integer for the counting.

Apart from the counter applied, this is identical to the encryption used for LRP secure messaging, see <u>Section 9.2.4</u>.

If applying SDM with ASCII encoding, the SDMENCFileData is defined as follows:

 $SDMENCFileData = E_{LRP}$ (SesSDMFileReadENCKey; StaticFileData[SDMENCOffset ... SDMENCOffset + SDMENCLength/2 - 1])

with StaticFileData being the file data as it was written in the placeholder. The file configuration ensures via SDMENCLength that the input is a multiple of 16 bytes. No padding is applied.

9.3.7 **SDMMAC**

SDM for Reading supports calculating a MAC over the response data. This message authentication code is called the SDMMAC.

If SDMFileRead access right is configured for an application key, a MAC will be calculated as defined in <u>Section 9.3.8</u> when being read out in no authenticated state.

The SDMMAC is to be mirrored within the file via SDMMACOffset. This is configured with ChangeFileSettings, see Section 10.7.1.

If SDMMAC is mirrored within the file, it is limited to start only after SDMENCFileData, i.e. SDMMACOffset ≥ SDMENCOffset + SDMENCLength. The SDMMACInputOffset must ensure that the complete SDMENCFileData is included in the MAC calculation.

As the mirrored SDMMAC is ASCII encoded, the output size doubles to 16 bytes.

It shall be ensured that SDMMACOffset + SDMMACLength is smaller or equal than the file size. Note that if authenticated, no Secure Dynamic Messaging is applied and the placeholder data at SDMMACOffset is not replaced, i.e. the regular secure messaging is always applied on the static file data.

The SDMMACInputOffset will define from which position in the file the MAC calculation starts. If SDMMAC is mirrored within the file, SDMMACInputOffset must be smaller than or equal to SDMMACOffset.

MACing is mandatory if the SDMFileRead access right is configured for an application key. If the SDMFileRead access right is disabling Secure Dynamic Messaging for reading (i.e. set to Fh), SDMMACOffset and SDMMACInputOffset are not present in ChangeFileSettings.

With NT4H2421Gx, only ASCII encoding is supported. SDMMAC is always mirrored within the file.

9.3.8 MAC Calculation

The key applied for the MAC calculation is a session key SesSDMFileReadMACKey derived from the application key defined by the SDMFileRead access right, as specified in Section 9.3.9.

9.3.8.1 AES mode MAC calculation

The 8-byte SDMMAC is calculated using AES according to the CMAC standard described in NIST Special Publication 800-38B [6] applying the same truncation as the AES mode secure messaging, see <u>Section 9.1.3</u>.

The SDMMAC is defined as follows:

SDMMAC = MACt (SesSDMFileReadMACKey; DynamicFileData[SDMMACInputOffset ... SDMMACOffset - 1])

with DynamicFileData being the file data as how it is put on the contactless interface, i.e. replacing any placeholders by the dynamic data.

9.3.8.2 LRP mode MAC calculation

The 8-byte SDMMAC is calculated using a CMAC construction on top of the LRP primitive. This is specified in [10].

It is identical to the MAC calculation of LRP secure messaging, see <u>Section 9.2.3</u>, also applying the same truncation.

Therefore SDMMAC is defined as follows:

SDMMAC = MACt_{LRP} (SesSDMFileReadMACKey; DynamicFileData[SDMMACInputOffset ... SDMMACOffset - 1])

with DynamicFileData being the file data as how it is put on the contactless interface, i.e. replacing any placeholders by the dynamic data.

9.3.9 SDM Session Key Generation

For Secure Dynamic Messaging for reading, the following session keys are calculated:

- SesSDMFileReadMACKey for MACing of file data.
- · SesSDMFileReadENCKey for encryption of file data

The session key generation is according to NIST SP 800-108 [8] in counter mode.

The pseudo random function applied during the key generation is the CMAC algorithm described in NIST Special Publication 800-38B [6]. The key derivation key is the SDMFileReadKey as configured with the SDMFileRead access right.

9.3.9.1 AES mode session key generation for SDM

The input data is constructed using the following fields as defined by [8]. Note that NIST SP 800-108 allows defining a different order than proposed by the standard as long as it is unambiguously defined.

- a 2-byte label, distinguishing the purpose of the key: 3CC3h for MACing and C33Ch for encryption.
- a 2-byte counter, fixed to 0001h as only 128-bit keys are generated.
- a 2-byte length, fixed to 0080h as only 128-bit keys are generated.
- a context, constructed using the UID and/or SDMReadCtr, followed by zero-byte padding if needed.

Firstly, the input session vectors SV x are derived as follows:

SV1 = C3h || 3Ch || 00h || 01h || 00h || 80h || UID || SDMReadCtr

SV2 = 3Ch || C3h || 00h || 01h || 00h || 80h [|| UID] [|| SDMReadCtr] [|| ZeroPadding]

Whether or not the UID and/or SDMReadCtr are included in session vector SV2, depends on whether they are mirrored, see <u>Section 9.3.3</u>. Note that in case of encrypting file data, mirroring of both is mandatory.

Therefore they are always included in SV1.

Padding with zeros is done up to a multiple of 16 bytes. So in case of 7-byte UID and both elements are mirrored, no padding is added. Then, the 16-byte session keys are constructed as follows:

SesSDMFileReadENCKey = MAC(SDMFileReadKey; SV1)

SesSDMFileReadMACKey = MAC(SDMFileReadKey; SV2)

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9.3.9.2 LRP mode session key generation for SDM

The input data is constructed using the following fields as defined by [8]. Note that NIST SP 800-108 allows defining a different order than proposed by the standard as long as it is unambiguously defined.

- a 2-byte counter, fixed to 0001h as only 128-bit keys are generated.
- a 2-byte length, fixed to 0080h as only 128-bit keys are generated.
- a context, constructed using the UID and/or SDMReadCtr, followed by zero-byte padding if needed.
- a 2-byte label: 1EE1h

Firstly, the input session vector SV is derived as follows:

SV = 00h || 01h || 00h || 80h [|| UID] [|| SDMReadCtr] [|| ZeroPadding] || 1Eh || E1h

Whether or not the UID and/or SDMReadCtr are included in the session vectors, depends on whether they are mirrored, see <u>Section 9.3.3</u>. Note that in case of encrypting file data, mirroring of both is mandatory. Padding with zeros is done up to a multiple of 16 bytes. So in case of 7-byte UID and both elements are mirrored, no padding is added. Then, the session key material is constructed as follows:

SDMFileReadSPT = generatePlaintexts(4; SDMFileReadKey)

{SDMFileReadUpdateKey} = generateUpdatedKeys(1; SDMFileReadKey)

 $SesSDMFileReadMasterKey = MAC_{LRP}$ (SDMFileReadKey; SV)

SesSDMFileReadSPT = generatePlaintexts(4; SesSDMFileReadMasterKey)

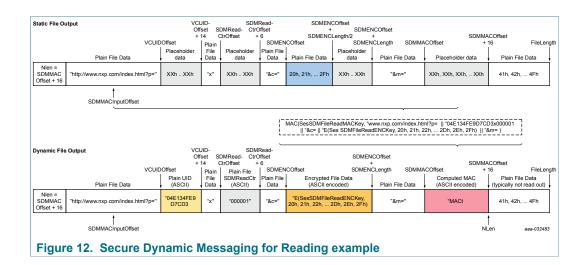
{SesSDMFileReadMACUpdateKey; SesSDMFileReadENCUpdateKey} = generateUpdatedKeys(2; SesSDMFileReadMasterKey)

with generatePlaintexts and generateUpdatedKeys the functions from [10]. Note that the output of generateUpdatedKeys is shown in the order that the keys are generated.

The actual SesSDMFileReadMACKey then consists for LRP of the set of plaintexts SesSDMFileReadSPT (consisting of 16 16-byte values) and SesSDMFileReadMACUpdateKey. The SesSDMFileReadENCKey consists of the same set of plaintexts SesSDMFileReadSPT and SesSDMFileReadENCUpdateKey.

9.3.10 Output Mapping Examples

The following figure shows an example with the static file content and how it will be read.



10 Command set

10.1 Introduction

This section contains the full command set of NTAG 424 DNA.

Remark: In the figures and tables, always CommMode.Plain is presented and the field length is valid for the plain data length. For the CommMode.MAC and CommMode.Full, the cryptogram needs to be calculated according to the secure messaging Section 9, then data field needs to fill with the cryptogram (Plain; CMAC; encrypted data with CMAC). Communication mode and condition are mentioned in the command description.

10.2 Supported commands and APDUs

Table 22. APDUs

| Command | | | | C-API | OU (he | ex) | | R-AP | DU (hex) | Communication mode |
|------------------------------------|-----|-----|----|-------|--------|---------------|----|------|--------------------------|-----------------------------|
| INS | CLA | INS | P1 | P2 | Lc | Data | Le | Data | SW1SW2 Succ essful | |
| AuthenticateEV2First - Part1 | 90 | 71 | 00 | 00 | XX | Data | 00 | Data | 91AF | N/A (command specific) |
| AuthenticateEV2First - Part2 | 90 | AF | 00 | 00 | 20 | Data | 00 | Data | 9100 | |
| AuthenticateEV2NonFirst - Part1 | 90 | 77 | 00 | 00 | XX | Data | 00 | Data | 91AF | N/A (command specific) |
| AuthenticateEV2NonFirst - Part2 | 90 | AF | 00 | 00 | 20 | Data | 00 | Data | 9100 | |
| AuthenticateLRPFirst - Part1 | 90 | 71 | 00 | 00 | XX | Data | 00 | Data | 91AF | N/A (command specific) |
| AuthenticateLRPFirst - Part2 | 90 | AF | 00 | 00 | 20 | Data | 00 | Data | 9100 | |
| AuthenticateLRPNonFirst - Part1 | 90 | 77 | 00 | 00 | XX | Data | 00 | Data | 91AF | N/A (command specific) |
| AuthenticateLRPNonFirst - Part2 | 90 | AF | 00 | 00 | 20 | Data | 00 | Data | 9100 | |
| <u>ChangeFileSettings</u> | 90 | 5F | 00 | 00 | XX | Data | 00 | - | 9100 | CommMode.Full |
| ChangeKey | 90 | C4 | 00 | 00 | XX | Data | 00 | - | 9100 | CommMode.Full |
| GetCardUID | 90 | 51 | 00 | 00 | - | - | 00 | Data | 9100 | CommMode.Full |
| GetFileCounters | 90 | F6 | 00 | 00 | - | File ID | 00 | Data | 9100 | CommMode.Full |
| GetFileSettings | 90 | F5 | 00 | 00 | 01 | File number | 00 | Data | 9100 | CommMode.MAC |
| GetKeyVersion | 90 | 64 | 00 | 00 | 01 | Key number | 00 | Data | 9100 | CommMode.MAC |
| GetVersion - Part1 | 90 | 60 | 00 | 00 | - | - | 00 | Data | 91AF | CommMode.MAC ^[1] |
| GetVersion - Part2 | 90 | AF | 00 | 00 | - | - | 00 | Data | 91AF | CommMode.MAC |
| GetVersion - Part3 | 90 | AF | 00 | 00 | - | - | 00 | Data | 9100 | CommMode.MAC |
| ISOReadBinary | 00 | В0 | XX | XX | - | - | XX | Data | 9000 | CommMode.Plain |
| ReadData | 90 | AD | 00 | 00 | XX | Reference | 00 | Data | 9100 | Comm. mode of targeted file |
| Read_Sig | 90 | 3C | 00 | 00 | 01 | 00 | 00 | Data | 9100 | CommMode.Full |
| <u>ISOSelectFile</u> | 00 | A4 | XX | XX | XX | Data to send | XX | FCI | 9000 | CommMode.Plain |
| SetConfiguration | 90 | 5C | 00 | 00 | XX | Data | 00 | - | 9100 | CommMode.Full |
| ISOUpdateBinary | 00 | D6 | XX | XX | XX | Data to write | - | - | 9000 | CommMode.Plain |

| Command | | | | C-APE | OU (he | ex) | | R-AP | DU (hex) | Communication mode |
|------------------|----|----|----|-------|--------|------|----|------|----------|-----------------------------|
| <u>WriteData</u> | 90 | 8D | 00 | 00 | XX | Data | 00 | - | 9100 | Comm. mode of targeted file |

^[1] MAC on command and returned with the last response, calculated over all 3 responses

10.3 Status word

Table 23. SW1 SW2 for CLA byte 0x90

| SW1 SW2 | Name | Description |
|---------|----------------------|---|
| 0x9100 | OPERATION_OK | Successful operation. |
| 0x911C | ILLEGAL_COMMAND_CODE | Command code not supported. |
| 0x911E | INTEGRITY_ERROR | CRC or MAC does not match data. Padding bytes not valid. |
| 0x9140 | NO_SUCH_KEY | Invalid key number specified. |
| 0x917E | LENGTH_ERROR | Length of command string invalid. |
| 0x919D | PERMISSION_DENIED | Current configuration / status does not allow the re- quested command. |
| 0x919E | PARAMETER_ERROR | Value of the parameter(s) invalid. |
| 0x91AD | AUTHENTICATION_DELAY | Currently not allowed to authenticate. Keep trying until full delay is spent. |
| 0x91AE | AUTHENTICATION_ERROR | Current authentication status does not allow the re- quested command. |
| 0x91AF | ADDITIONAL_FRAME | Additionaldata frame is expected to be sent. |
| 0x91BE | BOUNDARY_ERROR | Attempt to exceed the limits of a value file. |
| 0x91CA | COMMAND_ABORTED | Previous Command was not fully completed. Not all Frames were requested or provided by the PCD. |
| 0x91F0 | FILE_NOT_FOUND | Specified file number does not exist. |

Table 24. SW1 SW2 for CLA byte 0x00

| SW1 SW2 | Description |
|---------|---|
| 0x6700 | Wrong length; no further indication |
| 0x6982 | Security status not satisfied |
| 0x6985 | Conditions of use not satisfied |
| 0x6A80 | Incorrect parameters in the command data field |
| 0x6A82 | File or application not found |
| 0x6A86 | Incorrect parameters P1-P2 |
| 0x6A87 | Lc inconsistent with parameters P1-P2 |
| 0x6C00 | Wrong Le field |
| 0x6CXX | Wrong Le field; SW2 encodes the exact number of avail- able data bytes. |
| 0x6D00 | Instruction code not supported or invalid |
| 0x6E00 | Class not supported |
| 0x9000 | Normal processing (no further qualification) |

10.4 Authentication commands

Authentication with the defined key is required to access the protected file according to access rights. Based on successful authentication session keys are generated, which are used for secure messaging between the terminal and NT4H2421Gx.

Remark: Default FWI settings for authentication and secure messaging are set according to GSMA specification v2.0 to the value 7h. This value is stored in the User ATS.

10.4.1 AuthenticateEV2First

This command initiates an authentication based on standard AES. After this authentication, AES secure messaging is applied. This authentication command is used to authenticate for the first time in a transaction and can always be used within a transaction. AuthenticateEV2First starts a transaction with a Transaction Identifier (TI) and AuthenticateEV2NonFirst continues the transaction with that TI. This 3-pass challenge-response-based mutual authentication command is completed in two parts:

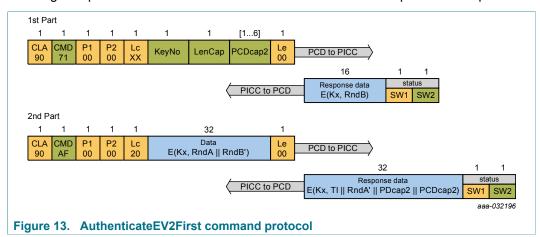


Table 25. Command parameters description - AuthenticateEV2First - Part1

| Name | Length | Value | Description |
|-------------|----------------|------------|--|
| Command Hea | der Parameters | | |
| CMD | 1 | 71h | Command code. |
| KeyNo | 1 | | Targeted authentication key |
| | Bit 7-6 | 00b | RFU |
| | Bit 5-0 | 0h to 4h | Key number |
| LenCap | 1 | 00h to 06h | Length of the PCD Capabilities. [This value should be set to 00h]. |
| PCDcap2.1 | [1] | - | Capability vector of the PCD. |
| | Bit 7-2 | Full range | RFU, can hold any value |
| | Bit 1 | 0b | EV2 secure messaging |
| | Bit 0 | Full range | RFU, can hold any value |
| PCDcap2.2-6 | [15] | Full range | Capability vector of the PCD. All other bytes but PCDcap2.1 are optional, RFU and can hold any value. [If LenCap set to 00h, no PCDcap2 present] |

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| Name | Length | Value | Description | | | |
|-------------------------|--------|-------|--------------------|--|--|--|
| Command Data Parameters | | | | | | |
| - | - | - | No data parameters | | | |

Table 26. Response data parameters description - AuthenticateEV2First - Part1

| Tubic 20. Itcs | Table 20. Response data parameters description - Admenticate 2421 list - 1 drt1 | | | | | | | | |
|----------------|---|----------------|--|--|--|--|--|--|--|
| Name | Length | Value | Description | | | | | | |
| E(Kx, RndB) | 16 | Full range | Encrypted PICC challenge The following data, encrypted with the key Kx referenced by KeyNo: - RndB: 16 byte random from PICC | | | | | | |
| SW1SW2 | 2 | 91AFh 91XXh | successful execution Refer to Table 26 | | | | | | |

Table 27. Return code description - AuthenticateEV2First - Part1

| Status | Value | Description |
|----------------------|-------|---|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| NO_SUCH_KEY | 40h | Targeted key does not exist |
| PERMISSION_DENIED | 9Dh | Targeted key not available for authentication. |
| | | Targeted key not enabled. |
| | | Targeting EV2 authentication and secure messaging, while not allowed by configuration (PDCap2.1.Bit1 is '1'). |
| AUTHENTICATION_DELAY | ADh | Currently not allowed to authenticate. Keep trying until full delay is spent. |

Table 28. Command parameters description - AuthenticateEV2First - Part2

| Name | Length | Value | Description |
|------------|--------|------------|---|
| CMD | 1 | AFh | Additional frame |
| E(Kx, RndA | 32 | Full range | Encrypted PCD challenge and response |
| RndB') | | | The following data, encrypted with the key Kx referenced by KeyNo: - RndA: 16 byte random from PCD. |
| | | | - RndB': 16 byte RndB rotated left by 1 byte |

Table 29. Response data parameters description - AuthenticateEV2First - Part2

| Name | Length | Value | Description |
|--|--------|----------------|---|
| E(Kx, TI RndA' PDcap2 PCDcap2) | 32 | Full range | Encrypted PICC response The following data encrypted with the key referenced by KeyNo: - TI: 4 byte Transaction Identifier - RndA': 16 byte RndA rotated left by 1 byte PDcap2: 6 byte PD capabilities - PCDcap2: 6 byte PCD capabilities |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 30 |

Table 30. Return code description - AuthenticateEV2First - Part2

| Status | Value | Description |
|----------------------|-------|---|
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| AUTHENTICATION_ERROR | AEh | Wrong RndB' |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.4.2 AuthenticateEV2NonFirst

The AuthenticateEV2NonFirst command can be used only if there is a valid authentication with AuthenticateEV2First. It continues the transaction with the transaction started by previous <u>AuthenticateEV2First</u> command. It starts a new session. The scheme of transaction and sessions within the transaction have been designed to protect any possible sophisticated replay attacks

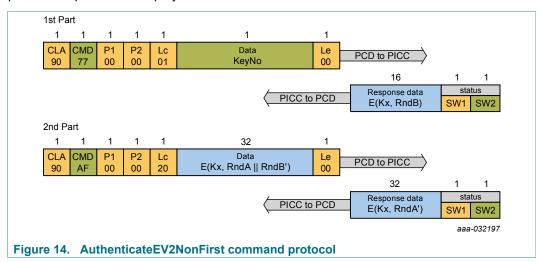


Table 31. Command parameters description - Authenticate EV2NonFirst - Part1

| Name | Length | Value | Description |
|---------------------------|---------|-----------|-----------------------------|
| Command Header Parameters | | | · |
| CMD | 1 | 77h | Command code. |
| KeyNo | 1 | | Targeted authentication key |
| | Bit 7-6 | 0 | RFU |
| | Bit 5-0 | 0h to 04h | Key number |
| Command Data Parameters | | | |
| - | - | - | No data parameters |

Table 32. Response data parameters description - AuthenticateEV2NonFirst - Part1

| Name | Length | Value | Description |
|-------------|--------|----------------|--|
| E(Kx, RndB) | 16 | Full range | Encrypted PICC challenge The following data, encrypted with the key Kx referenced by KeyNo: - RndB (16 byte): Random number from the PICC. |
| SW1SW2 | 2 | 91AFh 91XXh | successful execution Refer to Table 33 |

Table 33. Return code description - AuthenticateEV2NonFirst - Part1

| Status | Value | Description | |
|----------------------|-------|---|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. | |
| LENGTH_ERROR | 7Eh | Command size not allowed. | |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. | |
| NO_SUCH_KEY | 40h | Targeted key does not exist | |
| PERMISSION_DENIED | 9Dh | In not authenticated state and not targeting OriginalityKey | |
| | | Targeted key not available for authentication. | |
| | | Targeted key not enabled. | |
| AUTHENTICATION_DELAY | ADh | Currently not allowed to authenticate. Keep trying until full delay is spent. | |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. | |

Table 34. Command parameters description - Authenticate EV2NonFirst - Part2

| Name | Length | Value | Description |
|------------|--------|------------|--|
| CMD | 1 | AFh | Additional frame |
| E(Kx, RndA | 32 | Full range | Encrypted PCD challenge and response |
| RndB') | | | The following data, encrypted with the key Kx referenced by KeyNo: - RndA: 16 byte random from PCD RndB': 16 byte RndB rotated left over 1 byte. |

Table 35. Response data parameters description - AuthenticateEV2NonFirst - Part2

| Name | Length | Value | Description |
|--------------|--------|----------------|--|
| E(Kx, RndA') | 16 | Full range | Encrypted PICC challenge and response The following data, encrypted with the key Kx referenced by KeyNo: - RndA: 16 byte random from PCD RndB': 16 byte RndB rotated left over 1 byte. |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 36 |

Table 36. Return code description - AuthenticateEV2NonFirst - Part2

| Status | Value | Description |
|----------------------|-------|---|
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| AUTHENTICATION_ERROR | AEh | Wrong RndB' |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.4.3 AuthenticateLRPFirst

Authentication for LRP secure messaging. The AuthenticationLRPFirst is intended to be the first in a transaction and recommended. LRP secure messaging allows side-channel resistant implementations.

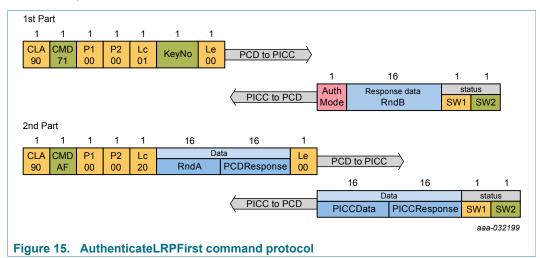


Table 37. Command parameters description - AuthenticateLRPFirst - Part1

| Name | Length | Value | Description | | | |
|--------------------|---------------------------|------------|---|--|--|--|
| Command Hea | Command Header Parameters | | | | | |
| CMD | 1 | 71h | Command code. | | | |
| KeyNo | 1 | | Targeted authentication key | | | |
| | Bit 7-6 | 00b | RFU | | | |
| | Bit 5-0 | 0h4h | Key number | | | |
| LenCap | 1 | 1h6h | Length of the PCD Capabilities. | | | |
| PCDcap2.1 | 1 | - | Capability vector of the PCD. | | | |
| | Bit 7-2 | Full range | RFU, can hold any value | | | |
| | Bit 1 | 1b | LRP secure messaging | | | |
| | Bit 0 | Full range | RFU, can hold any value | | | |
| PCDcap2.2-6 | [15] | Full range | Capability vector of the PCD. All other bytes but PCDcap2.1 are optional, RFU and can hold any value. | | | |
| Command Data | a Parameters | | | | | |
| - | - | - | No data parameters | | | |

Table 38. Response data parameters description - AuthenticateLRPFirst - Part1

| Name | Length | Value | Description |
|----------|--------|------------|------------------------|
| AuthMode | 1 | 01h | LRP Mode |
| RndB | 16 | Full range | PICC challenge RndB |

| Name | Length | Value | Description |
|--------|--------|-------|--|
| SW1SW2 | 2 | | successful execution Refer to Table 39 |

Table 39. Return code description - AuthenticateLRPFirst - Part1

| Status | Value | Description |
|----------------------|-------|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| NO_SUCH_KEY | 40h | Targeted key does not exist. |
| PERMISSION_DENIED | 9Dh | Targeted key is locked as related TotFailCtr is equal to or bigger than the TotFailCtrLimit. |
| AUTHENTICATION_DELAY | ADh | Currently not allowed to authenticate. Keep trying until full delay is spent. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

Table 40. Command parameters description - AuthenticateLRPFirst - Part2

| Name | Length | Value | Description |
|-------------|--------|------------|---|
| CMD | 1 | AFh | Additional frame |
| RndA | 16 | Full range | PCD challenge |
| PCDResponse | 16 | Full range | PCD response MAC _{LRP} (SesAuthMACKey;RndA RndB) |

Table 41. Response data parameters description - AuthenticateLRPFirst - Part2

| Table 41. Response data parameters description - AdmendicateLRF1 irst - Fartz | | | | | |
|---|--------|----------------|---|--|--|
| Name | Length | Value | Description | | |
| PICCData | 16 | Full range | Encrypted PICC data, E _{LRP} (SesAuthENCKey; TI; PDCap2; PCDCap2) | | |
| PICCRespons e | 16 | Full range | PICC response to the challenge MAC _{LRP} (SesAuthMACKey; RndB RndA PICCData) | | |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 42 | | |

Table 42. Return code description - AuthenticateLRPFirstPart2

| Status | Value | Description |
|----------------------|-------|---|
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| AUTHENTICATION_ERROR | AEh | Wrong PCDResp |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.4.4 AuthenticateLRPNonFirst

Consecutive authentication for LRP secure messaging. After this authentication, LRP secure messaging is used. This authentication is intended to be the following authentication in a transaction.

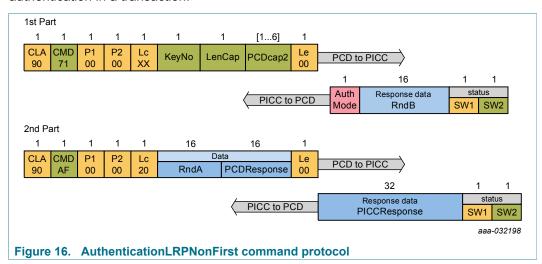


Table 43. Command parameters description - AuthenticateLRPNonFirst - Part1

| Name | Length | Value | Description | | | |
|-------------------------|---------------------------|------------|-----------------------------|--|--|--|
| Command Hea | Command Header Parameters | | | | | |
| CMD | 1 | 71h | Command code. | | | |
| KeyNo | 1 | | Targeted authentication key | | | |
| | Bit 7-6 | 00b | RFU | | | |
| | Bit 5-0 | 00h to 04h | Key Number | | | |
| Command Data Parameters | | | | | | |
| - | - | - | No data parameters | | | |

Table 44. Response data parameters description - AuthenticateLRPNonFirst - Part1

| Name | Length | Value | Description |
|----------|--------|----------------|--|
| AuthMode | 1 | 01h | LRP |
| RndB | 16 | Full range | PICC random RndB |
| SW1SW2 | 2 | 91AFh 91XXh | successful execution Refer to Table 45 |

Table 45. Return code description - AuthenticateLRPNonFirst - Part1

| Status | Value | Description |
|-----------------|-------|---|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |

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| Status | Value | Description |
|-----------------------|-------|---|
| NO_SUCH_KEY | 40h | Targeted key does not exist |
| PERMISSION_DENIED 9Dh | | In not authenticated state and not targeting OriginalityKeys |
| | | Targeted key not available for authentication. |
| | | Targeted key not enabled. |
| AUTHENTICATION_DELAY | ADh | Currently not allowed to authenticate. Keep trying until full delay is spent. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

Table 46. Command parameters description - AuthenticateLRPNonFirst - Part2

| Name | Length | Value | Description |
|---------|--------|------------|--|
| CMD | 1 | AFh | Additional frame |
| RndA | 16 | Full range | PCD challenge RndA |
| PCDResp | 16 | Full range | PCD response to the challenge MAC _{LRP} (SesAuthMACKey;RndA RndB) |

Table 47. Response data parameters description - AuthenticateLRPNonFirst - Part2

| Name | Length | Value | Description |
|----------|--------|----------------|---|
| PICCResp | 16 | Full range | PICC response to the challenge MAC _{LRP} (SesAuthMACKey;RndB RndA) |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 48 |

Table 48. Return code description - AuthenticateLRPNonFirst - Part2

| Status | Value | Description |
|----------------------|-------|---|
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| AUTHENTICATION_ERROR | AEh | Wrong PCDResp |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.5 Memory and configuration commands

10.5.1 SetConfiguration

With the SetConfiguration command, the application attributes can be configured. It requires an authentication with the AppMasterKey and CommMode.Full.

The command consists of an option byte and a data field with a size depending on the option. The option byte specifies the nature of the data field content.

In the below table "No change" references are used with configurations that are persistent. This means that the associated configuration is left as it is already in the card and its value is not changed.

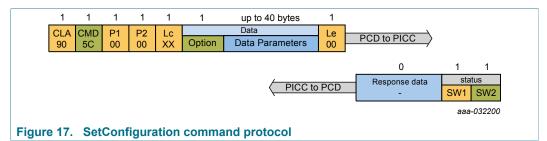


Table 49. Command parameters description - SetConfiguration

| Name | Length | Value | Description | |
|---------------------------|----------------|--------------|---|--|
| Command Header Parameters | | | | |
| Cmd | 1 | 5Ch | Command code. | |
| Option | 1 | - | Configuration Option. It defines the length and content of the Data parameter. The Option byte is transmitted in plain text, whereas the Data is always transmitted in CommMode.Full. | |
| | | 00h | PICC configuration. | |
| | | 04h | Secure Messaging Configuration. | |
| | | 05h | Capability data. | |
| | | 0Ah | Failed authentication counter setting | |
| | | 0Bh | HW configuration | |
| | | Other values | RFU | |
| Command Data Parameters | | | | |
| Data | Up to 10 bytes | - | Data content depends on option values. | |
| | | Full range | Data content depends on option value as defined in setConfigOptionsList Table. | |

Table 50. SetConfigOptionList

| Option | Data Length | Field | Length/bitin dex | Description |
|--------|----------------|--------------------|---------------------|-------------|
| 00h | 1 byte | PICC Configuration | | |

| Option | Data Length | Field | Length/bitin dex | Description |
|--------|----------------|--------------------------|------------------|---|
| | | PICCConfig | Bit 7-2 | RFU |
| | | | Bit 1 | UseRID configuration for Random. Random ID is disabled at delivery time. 1b: Enable Random UID 0b: No change |
| | | | Bit 0 | RFU |
| 04h | 2 bytes | Secure Messaging Cor | nfiguration | ' |
| | | SMConfig | Bit 15 to 3 | RFU |
| | | | Bit 2 | Secure messaging configuration for StandardData file 0b: No Change 1b: disable chained writing with WriteData command in CommMod e.MAC and CommMode.Full |
| | | | Bit 1-0 | RFU |
| 05h | 10 bytes | Capability data, consist | ting of PDCap2 | ' |
| | | | 4 bytes | RFU |
| | | | 1 byte | User configured PDCap2.1 Bit 7 to 2: RFU Bit 1: 1b means enable LRP mode. This change is permanent, LRP mode cannot be disabled afterwards. Bit 1: 0b means no change |
| | | | 3 bytes | RFU |
| | | | 1 byte | User configured PDCap2.5 |
| | | | 1 byte | User configured PDCap2.6 |
| 0Ah | 5 bytes | Failed authentication c | ounter configura | ation |
| | | FailedCtrOption | 1 byte | Bit 7 to 1: RFU Bit 0: Set to 0b for disabling Bit 0: Set to 1b for enabling [default] |
| | | TotFailCtrLimit | 2 bytes | configurable limit, encoded as 2-byte unsigned integer (LSB first), must be bigger than 0000h. Default value: 1000. When disabling, this value is ignored |
| | | TotFailCtrDecr | 2 bytes | configurable decrement value, encoded as 2-byte unsigned integer (LSB first). Default value: 10. When disabling, this value is ignored. |
| 0Bh | 1 byte | HW configuration | | |

| Optio | n | Data Length | Field | Length/bitin dex | Description |
|-------|---|----------------|-----------|------------------|--|
| | | | HW Option | 1 byte | Bit 7 to 1: RFU Bit 0: Set to 0b for Standard back modulation Bit 0: Set to 1b for Strong back modulation (default) ^[1] |

^[1] note that it is strongly recommended to leave the default setting, specifically for antennas smaller than Class1

Table 51. Response data parameters description - SetConfiguration

| Name | Length | Value | Description |
|---------------|--------|----------------|--|
| Response data | 0 | - | No response data |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 52 |

Table 52. Return code description - SetConfiguration

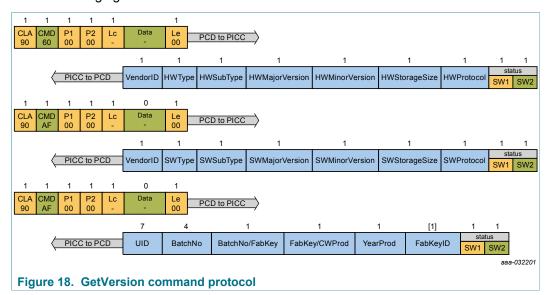
| Status | Value | Description |
|----------------------|-------|---|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Invalid cryptogram (padding or CRC). Invalid secure messaging MAC. |
| LENGTH_ERROR | 7Eh | Command size not allowed. Option 00h: Data length is not 1 Option 04h: Data length is not 2 Option 05h: Data length is not 10 Option 0Ah: Data length is not 5 Option 0Bh: Data length is not 1 |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. Option 00h: Data bit 7-2 or bit 0 not set to 0b. Unsupported option (i.e. Reserved). |
| PERMISSION_DENIED | 9Dh | Option 00h, 04h, 05h, 0Ah, 0Bh: not supported / allowed at PICC level |
| AUTHENTICATION_ERROR | AEh | Option 00h, 04h, 05h, 0Ah, 0Bh: No active authentication with AppMasterKey. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.5.2 GetVersion

The GetVersion command returns manufacturing related data of NTAG 424 DNA (NT4H2421Gx). No parameters are required for this command.

Remark: This command is only available after ISO/IEC 14443-4 activation.

The version data is return over three frames. Part1 returns the hardware-related information, Part2 returns the software-related information and Part3 and last frame returns the production-related information. This command is freely accessible without secure messaging as soon as the PD is selected and there is no active authentication.



Part 1

Table 53. Command parameters description - GetVersion - Part1

| Name | Length | Value | Description | |
|---------------------------|--------|-------|--------------------|--|
| Command Header Parameters | | | | |
| Cmd | 1 | 60h | Command code. | |
| Command Data Parameters | | | | |
| - | - | - | No data parameters | |

Table 54. Response data parameters description - GetVersion - Part1

| Name | Length | Value | Description |
|----------------|--------|-------|--------------------------|
| VendorID | 1 | 04h | Vendor ID |
| HWType | 1 | 04h | HW type for NTAG |
| HWSubType 1 | 1 | - | HW subtype |
| | | X2h | 50 pF |
| | | 0Xh | Strong back modulation |
| | | 8Xh | Standard back modulation |
| HWMajorVersion | 1 | 30h | HW major version number |

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| Name | Length | Value | Description |
|----------------|--------|----------------|---|
| HWMinorVersion | 1 | 00h | HW minor version number |
| HWStorageSize | 1 | - | HW storage size |
| | | 11h | 256 B <storage 512="" b<="" size<="" td=""></storage> |
| | | other values | RFU |
| HWProtocol | 1 | 05h | HW communication protocol type |
| SW1SW2 | 2 | 91AFh 91XXh | successful execution Refer to Table 59 |

Part 2

Table 55. Command parameters description - GetVersion - Part2

| Name | Length | Value | Description |
|------|--------|-------|---------------------------|
| CMD | 1 | AFh | Additional frame request. |
| Data | 0 | - | No data parameters: |

Table 56. Response data parameters description - GetVersion - Part2

| Name | Length | Value | Description | | |
|----------------|--------|----------------|---|--|--|
| VendorID | 1 | 04h | Vendor ID | | |
| SWType | 1 | 04h | SW type for NTAG | | |
| SWSubType | 1 | 02h | SW subtype | | |
| SWMajorVersion | 1 | 01h | SW major version number | | |
| SWMinorVersion | 1 | 02h | SW minor version number | | |
| SWStorageSize | 1 | - | SW storage size | | |
| | | 11h | 256 B <storage 512="" b<="" size<="" td=""></storage> | | |
| | | other values | RFU | | |
| SWProtocol | 1 | 05h | SW communication protocol type | | |
| SW1SW2 | 2 | 91AFh 91XXh | successful execution Refer to Table 59 | | |

Part 3

Table 57. Command parameters description - GetVersion - Part3

| Name | Length | Value | Description |
|------|--------|-------|---------------------------|
| CMD | 1 | AFh | Additional frame request. |
| Data | 0 | - | No data parameters: |

Table 58. Response data parameters description - GetVersion - Part3

| Name | Length | Value | Description |
|----------------|---------|----------------|---|
| UID | 7 | - | UID |
| | | All zero | if configured for RandomID |
| | | Full range | UID if not configured for RandomID |
| BatchNo | 4 | Full range | Production batch number |
| BatchNo/FabKey | 1 | | |
| | Bit 7-4 | Full range | Production batch number |
| | Bit 3-0 | 0h | Default FabKey, other values RFU |
| FabKey/CWProd | 1 | | |
| | Bit 7 | 0b | Default FabKey, other values RFU |
| | Bit 6-0 | 01h52h | Calendar week of production |
| YearProd | 1 | Full range | Year of production |
| FabKeyID | [1] | 1FhFFh | Optional, present for customized configurations when FabKey = 1Fh |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 59 |

Table 59. Return code description - GetVersion

| Status | Value | Description | | | |
|-----------------|-------|---|--|--|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. | | | |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC (only). | | | |
| LENGTH_ERROR | 7Eh | Command size not allowed. | | | |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. | | | |

10.5.3 GetCardUID

GetCardUID command is required to get the 7-byte UID from the card. In case "Random ID" at activation is configured, encrypted secure messaging is applied for this command and response. An authentication with any key needs to be performed prior to the command GetCardUID. This command returns the UID and gives the opportunity to retrieve the UID, even if the Random ID is used.

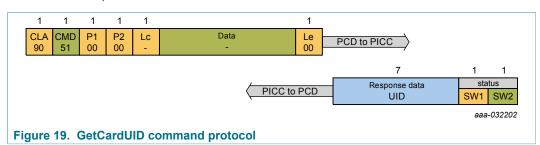


Table 60. Command parameters description - GetCardUID

| Table 55. Seminaria parameters accompany | | | | |
|--|----------------|-------|--------------------|--|
| Name | Length | Value | Description | |
| Command Hea | der Parameters | | | |
| Cmd | 1 | 51h | Command code. | |
| Command Data Parameters | | | | |
| - | - | - | No data parameters | |

Table 61. Response data parameters description - GetCardUID

| Name | Length | Value | Description |
|--------|--------|----------------|--|
| UID | 7 | Full range | UID of the NT4H2421Gx |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 62 |

Table 62. Return code description - GetCardUID

| Status | Value | Description |
|----------------------|-------|---|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC (only). |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| AUTHENTICATION_ERROR | AEh | No active authentication |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.6 Key management commands

NT4H2421Gx provides the following command set for Key Management.

10.6.1 ChangeKey

The ChangeKey command is used to change the application keys. Authentication with application key number 0 is required to change the key. CommMode.Full is applied for this command. Note that the cryptogram calculations for changing key number 0 and other keys are different.

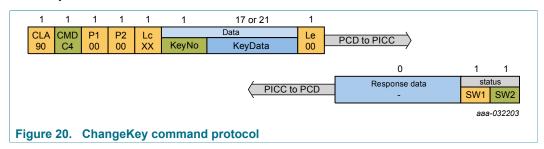


Table 63. Command parameters description - ChangeKey

| Name | Length | Value | Description |
|---------------------------|-------------|-----------------------------------|---|
| Command Header Parameters | | | |
| Cmd | 1 | C4h | Command code. |
| KeyNo | 1 | - | Key number of the key to be changed. |
| | Bit 7-6 | 00b | RFU |
| | Bit 5-0 | | Key Number |
| | | 0h4h | The application key number |
| Command Data P | arameters | 1 | |
| KeyData | ta 17 or 21 | | New key data. |
| | | full range (17-byte length) | if key 0 is to be changed NewKey KeyVer |
| | | | if key 1 to 4 are to be changed (NewKey XOR OldKey) KeyVer CRC32NK ^[1] |

^[1] The CRC32NK is the 4-byte CRC value computed according to IEEE Std 802.3-2008 (FCS Field) over NewKey [9]

Table 64. Response data parameters description - ChangeKey

| Name | Length | Value | Description |
|---------------|--------|----------------|--|
| Response data | 0 | - | No response data |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 65 |

Table 65. Return code description - ChangeKey

| Status | Value | Description |
|----------------------|-------|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Integrity error in cryptogram or invalid secure messaging MAC (Secure Messaging). |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| NO_SUCH_KEY | 40h | Targeted key does not exist |
| PERMISSION_DENIED | 9Dh | At PICC level, targeting any OriginalityKey which cannot be changed |
| AUTHENTICATION_ERROR | AEh | At application level, missing active authentication with AppMasterKey while targeting any AppKey. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.6.2 GetKeyVersion

The GetKeyVersion command retrieves the current key version of any key. Key version can be changed with the ChangeKey command together with the key.

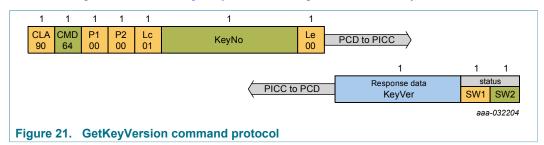


Table 66. Command parameters description - GetKevVersion

| Table 00. Communa parameters accemption | | | |
|---|---------|------------|--------------------------------|
| Name | Length | Value | Description |
| Command Header Parameters | | | |
| Cmd | 1 | 64h | Command code. |
| KeyNo | 1 | - | Key number of the targeted key |
| | Bit 7-4 | 00h | RFU |
| | 3 to 0 | 00h to 04h | Application key number |
| Command Data Parameters | | | |
| - | - | - | No data parameters |

Table 67. Response data parameters description - GetKeyVersion

| Name | Length | Value | Description |
|--------|--------|----------------|--|
| KeyVer | 1 | - | Key version of the targeted key |
| | | 00h | [if targeting disabled keys] |
| | | 00h | [if targeting OriginalityKey] |
| | | | [else] |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 68 |

Table 68. Return code description - GetKeyVersion

| Status | Value | Description |
|-----------------|-------|---|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC (only). |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| NO_SUCH_KEY | 40h | Targeted key does not exist. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.7 File management commands

The NT4H2421Gx provides the following command set for File Management functions.

10.7.1 ChangeFileSettings

The ChangeFileSettings command changes the access parameters of an existing file. The communication mode can be either CommMode.Plain or CommMode.Full based on current access right of the file.



Table 69. Command parameters description - ChangeFileSettings

| Name | Length | Value | Description |
|-------------------|-----------|-------|---|
| Command Header P | arameters | | |
| Cmd | 1 | 5Fh | Command code. |
| FileNo | 1 | - | File number of the targeted file. |
| | Bit 7-5 | | RFU |
| | Bit 4-0 | | File number |
| Command Data Para | ameters | | |
| FileOption | 1 | - | Options for the targeted file. |
| | Bit 7 | 0b | RFU |
| | Bit 6 | | Secure Dynamic Messaging and Mirroring |
| | | 0b | disabled |
| | | 1b | enabled |
| | Bit 5-2 | 0000b | RFU |
| | Bit 1-0 | | CommMode (see <u>Table</u> <u>CommunicationModes</u>) |
| AccessRights | 2 | - | Set of access conditions for the first set in the file (see <u>Section 8.2.3.3</u>). |
| SDMOptions | [1] | - | [Optional, present if FileOption[Bit 6] set] SDM Options |
| | Bit 7 | - | UID (only for mirroring) |
| | | 0b | disabled |
| | | 1b | enabled |
| | Bit 6 | - | SDMReadCtr |
| | | 0b | disabled |
| | | 1b | enabled |

| Name | Length | Value | Description |
|------------------|------------|-------------------------------------|---|
| | Bit 5 | - | SDMReadCtrLimit |
| | | 0b | disabled |
| | | 1b | enabled |
| | Bit 4 | - | SDMENCFileData |
| | | 0b | disabled |
| | | 1b | enabled |
| | Bit 3-1 | 000b | RFU |
| | Bit 0 | - | Encoding mode |
| | | 1b | ASCII |
| SDMAccessRights | [2] | - | [Optional, present if FileOption[Bit 6] set] SDM Access Rights |
| | Bit 15- 12 | - | SDMMetaRead access right |
| | | 0h4h | Encrypted PICCData mirroring using the targeted AppKey |
| | | Eh | Plain PICCData mirroring |
| | | Fh | No PICCData mirroring |
| | Bit 11- 8 | - | SDMFileRead access right |
| | | 0h4h | Targeted AppKey |
| | | Fh | No SDM for Reading |
| | Bit 7-4 | Fh | RFU |
| | Bit 3-0 | - | SDMCtrRet access right |
| | | 0h4h | Targeted AppKey |
| | | Eh | Free |
| | | Fh | No Access |
| UIDOffset | [3] | - | [Optional, present if ((SDMOptions[Bit 7] = 1b) AND (SDMMetaRead access right = Eh)] Mirror position (LSB first) for UID |
| | | 0h (FileSize - UIDLength) | Offset within the file |
| SDMReadCtrOffset | [3] | - | [Optional, present if ((SDMOptions[Bit 6] = 1b) AND (SDMMetaRead access right = Eh)] Mirror position (LSB first) for SDMReadCtr |
| | | 0h (FileSize - SDMReadCtrLength) | Offset within the file |
| | | FFFFFFh | No SDMReadCtr mirroring |

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| Name | Length | Value | Description |
|-------------------|--------|--|---|
| PICCDataOffset | [3] | - | [Optional, present if SDMMetaRead access right =0h4h] Mirror position (LSB first) for encrypted PICCData |
| | | 0h (FileSize - PICCDataLength) | Offset within the file |
| SDMMACInputOffset | [3] | - | [Optional, present if SDMFileRead access right != Fh] Offset in the file where the SDM MAC computation starts (LSB first) |
| | | 0h (SDMMACOffset) | Offset within the file |
| SDMENCOffset | [3] | - | [Optional, present if ((SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 1b))] SDMENCFileData mirror position (LSB first) |
| | | SDMMACInputOffset (SDMMACOffset - 32) | Offset within the file |
| SDMENCLength | [3] | - | [Optional, present if ((SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 1b))] Length of the SDMENCFileData (LSB first) |
| | | 32 (SDMMACOffset - SDMENCOffset) | Offset within the file, must be multiple of 32 |
| SDMMACOffset | [3] | - | [Optional, present if SDMFileRead access right != Fh] SDMMAC mirror position (LSB first) |
| | | SDMMACInputOffset (FileSize - 16) | [if (SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 0b)] Offset within the file |
| | | (SDMENCOffset + SDMENCLength) (FileSize- 16) | [if (SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 1b)] Offset within the file |
| SDMReadCtrLimit | [3] | Full range | [Optional, present if SDMOptions[Bit 5] = 1b] SDMReadCtrLimit value (LSB first) |

Table 70. Response data parameters description - ChangeFileSettings

| Name | Length | Value | Description |
|---------------|--------|----------------|--|
| Response data | 0 | - | No response data |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 71 |

Table 71. Return code description - ChangeFileSettings

| Status Return code descrip | Value | Description | | |
|----------------------------|-------|--|--|--|
| COMMAND ABORTED | CAh | Chained command or multiple pass command ongoing. | | |
| INTEGRITY_ERROR | 1Eh | Integrity error in cryptogram. Invalid Secure Messaging MAC (only). | | |
| LENGTH_ERROR | 7Eh | Command size not allowed. | | |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. | | |
| | | Targeted key for one of the access conditions in AccessRights or SDMAccessRights does not exist. | | |
| | | Trying to set access right SDMMetaRead to a value different than Fh, while both UID and SDMReadCtr mirroring are disabled. | | |
| | | Trying to set access right SDMMetaRead to Fh, while enabling UID mirroring. | | |
| | | Trying to set access right SDMCtrRet to a value different from Fh, while SDMReadCtr is disabled. | | |
| | | SDMMAC and UID mirroring are overlapping, i.e. the following condition is not satisfied: (SDMMACOffset ≥ UIDOffset + UIDLength) OR (UIDOffset ≥ SDMMACOffset + SDMMACLength) | | |
| | | SDMMAC and SDMReadCtr mirroring are overlapping, i.e. the following condition is not satisfied: (SDMMACOffset ≥ SDMReadCtrOffset + SDMReadCtrLength) OR (SDMReadCtrOffset ≥ SDMMACOffset + SDMMACLength) | | |
| | | SDMMAC and PICCData mirroring are overlapping, i.e. the following condition is not satisfied: (SDMMACOffset ≥ PICCDataOffset + PICCDataLength) OR (PICCDataOffset ≥ SDMMACOffset + SDMMACLength) | | |
| | | SDMENCFileData and UID mirroring are overlapping, i.e. the following condition is not satisfied: (SDMENCOffset ≥ UIDOffset + UIDLength) OR (UIDOffset ≥ SDMENCOffset + SDMENCLength) | | |
| | | SDMENCFileData and SDMReadCtr mirroring are overlapping, i.e. the following condition is not satisfied: (SDMENCOffset ≥ SDMReadCtrOffset + SDMReadCtrLength) OR (SDMReadCtrOffset ≥ SDMENCOffset + SDMENCLength) | | |
| | | SDMENCFileData and PICCData mirroring are overlapping, i.e. the following condition is not satisfied: (SDMENCOffset ≥ PICCDataOffset + PICCDataLength) OR (PICCDataOffset ≥ SDMENCOffset + SDMENCLength) | | |
| | | UID and SDMReadCtr mirroring are overlapping, i.e. the following condition is not satisfied: (UIDOffset ≥ SDMReadCtrOffset + SDMReadCtrLength) OR (SDMReadCtrOffset ≥ UIDOffset + UIDLength) | | |

| Status | Value | Description |
|----------------------|-------|--|
| | | Enabling Secure Dynamic Messaging encryption (SDMOptions[Bit 4] set to 1b) is not possible if access right SDMFileRead = Fh. |
| | | Enabling Secure Dynamic Messaging encryption (SDMOptions[Bit 4] set to 1b) is not allowed if not both SDMReadCtr and UID are mirrored (i.e. SDMOptions[Bit 7] and SDMOptions[Bit 6] must be set to 1b) |
| | | Trying to set a SDMReadCtrLimit while not enabling SDMReadCtr. |
| | | Trying to set a SDMReadCtrLimit which is smaller or equal to the current SDMReadCtr. |
| PERMISSION_DENIED | 9Dh | PICC level (MF) is selected. |
| | | access right Change of targeted file has access conditions set to Fh. |
| | | Enabling Secure Dynamic Messaging (FileOption Bit 6 set to 1b) is only allowed for FileNo 02h. |
| FILE_NOT_FOUND | F0h | File with targeted FileNo does not exist for the targeted application. |
| AUTHENTICATION_ERROR | AEh | File access right Change of targeted file not granted as there is no active authentication with the required key while the access conditions is different from Fh. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.7.2 GetFileSettings

The GetFileSettings command allows getting information on the properties of a specific file. The information provided by this command depends on the type of the file which is queried.

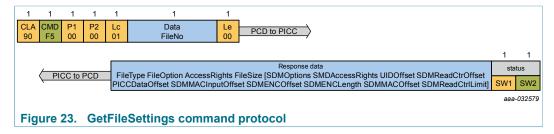


Table 72. Command parameters description - GetFileSettings

| Table 72. Command parameters description - Oct neoctangs | | | | |
|--|---------|-------|-----------------------------------|--|
| Name | Length | Value | Description | |
| Command Header Parameters | | | | |
| Cmd | 1 | F5h | Command code. | |
| FileNo | 1 | - | File number of the targeted file. | |
| | Bit 7-5 | | RFU | |
| | Bit 4-0 | | File number | |
| Command Data Parameters | | | | |

| Name | Length | Value | Description |
|------|--------|-------|--------------------|
| - | - | - | No data parameters |

Table 73. Response data parameters description - GetFileSettings

| Name | Length | Value | Description |
|-------------------|---------|--------------|--|
| FileType | 1 | - | File Type of the targeted file. |
| | | 00h | StandardData File |
| | | Other values | RFU |
| FileOption | 1 | - | Options for the targeted file. |
| | Bit 7 | | RFU |
| | Bit 6 | - | Secure Dynamic Messaging and Mirroring |
| | | 0b | disabled |
| | | 1b | enabled |
| | Bit 5-2 | 0000b | RFU |
| | Bit 1-0 | | CommMode (see <u>Table</u> CommunicationModes) |
| AccessRights | 2 | - | Set of access conditions for the 1st set in the file (see <u>Section 8.2.3.3</u>). |
| FileSize | 3 | - | File size of the targeted file. |
| SDMOptions | [1] | - | [Optional, present if FileOption[Bit 6] set] SDM Options, see <u>Table 69</u> |
| SDMAccessRights | [2] | - | [Optional, present if FileOption[Bit 6] set] SDM Access Rights, see <u>Table 69</u> |
| UIDOffset | [3] | - | [Optional, present if ((SDMOptions[Bit 7] = 1b) AND (SDMMetaRead access right = Eh)] Mirror position (LSB first) for UID, see Table 69 |
| SDMReadCtrOffset | [3] | - | [Optional, present if ((SDMOptions[Bit 6] = 1b) AND (SDMMetaRead access right = Eh)] Mirror position (LSB first) for SDMReadCtr, see <u>Table 69</u> |
| PICCDataOffset | [3] | - | [Optional, present if SDMMetaRead access right =0h4h] Mirror position (LSB first) for encrypted PICCData, see Table 69 |
| SDMMACInputOffset | [3] | - | [Optional, present if SDMFileRead access right != Fh] Offset in the file where the SDM MAC computation starts (LSB first), see Table 69 |

| Name | Length | Value | Description |
|-----------------|--------|----------------|---|
| SDMENCOffset | [3] | - | [Optional, present if ((SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 1b))] SDMENCFileData mirror position (LSB first), see Table 69 |
| SDMENCLength | [3] | - | [Optional, present if ((SDMFileRead access right != Fh) AND (SDMOptions[Bit 4] = 1b))] Length of the SDMENCFileData (LSB first), see Table 69 |
| SDMMACOffset | [3] | - | [Optional, present if SDMFileRead access right != Fh] SDMMAC mirror position (LSB first), see Table 69 |
| SDMReadCtrLimit | [3] | - | [Optional, present if SDMOptions[Bit 5] = 1b] SDMReadCtrLimit value (LSB first), see Table 69 |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 74 |

Table 74. Return code description - GetFileSettings

| Tubio 14. Retain code description. Con necestings | | |
|---|-------|--|
| Status | Value | Description |
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC (only). |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| PERMISSION_DENIED | 9Dh | PICC level (MF) is selected. |
| FILE_NOT_FOUND | F0h | File with targeted FileNo does not exist for the targeted application. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.7.3 GetFileCounters

The GetFileCounters command supports retrieving of the current values associated with the SDMReadCtr related with a StandardData file after enabling Secure Dynamic Messaging, see Section 9.3 and Section 10.7.1.

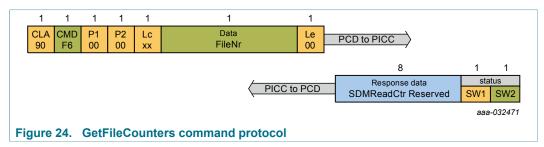


Table 75. Command parameters description - GetFileCounters

| Tubio 70. Communa parametere decomption | | | our necounters |
|---|--------------|---------------|-----------------------------------|
| Name | Length | Value | Description |
| Command Header Parameters | | | |
| Cmd | 1 | F6h | Command code. |
| FileNo | 1 | - | File number of the targeted file. |
| | Bit 7-5 | 000b | RFU |
| | Bit 4-0 | Limited range | File number |
| Command Data | a Parameters | | |
| - | - | - | No data parameters |

Table 76. Response data parameters description - GetFileCounters

| Name | Length | Value | Description |
|------------|--------|----------------|--|
| SDMReadCtr | 3 | Full Range | Current SDMReadCtr of the targeted file (LSB first). |
| Reserved | 2 | 0000h | RFU |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 77 |

Table 77. Return code description - GetFileCounters

| Status | Value | Description |
|----------------------|-------|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC |
| LENGTH_ERROR | 7Eh | Command size not allowed |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed |
| PERMISSION_DENIED | 9Dh | PICC level (MF) is selected. |
| | | Targeted file has no Secure Dynamic Messaging enabled. |
| | | Targeted file has SDMCtrRet access right set to Fh. |
| FILE_NOT_FOUND | F0h | Targeted file does not exist in the targeted application |
| AUTHENTICATION_ERROR | AEh | SDMCtrRet access right not granted while different from Fh. |
| FILE_NOT_FOUND | F0h | File with targeted FileNo does not exist for the targeted application. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory |

10.8 Data management commands

The NT4H2421Gx provides the following command set for Data Management functions.

10.8.1 ReadData

The ReadData command allows reading data from StandardData Files. The Read command requires a preceding authentication either with the key specified for Read or ReadWrite access, see the access rights section <u>Section 8.2.3.3</u>. Depending on the communication mode settings of the file secure messaging is applied, see <u>Section 8.2.3.5</u>.

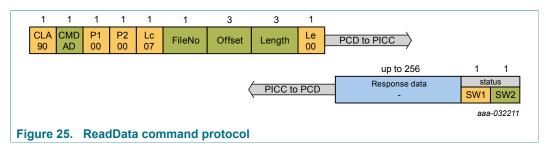


Table 78. Command parameters description - ReadData

| Name | Length | Value | Description |
|--------------------|--------------|-----------------------------------|---|
| Command Hea | | | |
| Cmd | 1 | ADh | Command code. |
| FileNo | 1 | - | File number of the targeted file. |
| | Bit 7-5 | 000b | RFU |
| | Bit 4-0 | | File number |
| | | Full Range | |
| Offset | 3 | 000000h (FileSize - 1) | Starting position for the read operation. |
| Length | 3 | - | Number of bytes to be read. |
| | | 000000h | Read the entire StandardData file, starting from the position specified in the offset value. Note that the short length Le limits response data to 256 byte including secure messaging (if applicable). |
| | | 000001h (FileSize - Offset) | |
| Command Data | a Parameters | | |
| - | - | - | No data parameters |

Table 79. Response data parameters description - ReadData

| Table 10. Response data parameters description. ReadBata | | | | |
|--|--|----------------|--|--|
| Name | Length | Value | Description | |
| Response data | up to 256 byte including secure messaging | Full Range | Data read from the file | |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 80 | |

Table 80. Return code description - ReadData

| Status | Value | Description |
|----------------------|-------|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC (only) |
| | | SMDRdCtr overflow |
| LENGTH_ERROR | 7Eh | Command size not allowed |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed |
| PERMISSION_DENIED | 9Dh | PICC level (MF) is selected. |
| | | Read, ReadWrite and SDMFileRead (if SDM is enabled) access right of targeted StandardData file only have access conditions set to Fh. |
| | | Targeted file cannot be read in not authenticated state as the related SDMReadCtr is equal or bigger than its SDMReadCtrLimit. |
| FILE_NOT_FOUND | F0h | Targeted file does not exist in the targeted application |
| AUTHENTICATION_ERROR | AEh | Read, ReadWrite and SDMFileRead (if SDM enabled) access right of targeted file not granted while at least one of the access conditions is different from Fh. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory |

10.8.2 WriteData

The WriteData command allows writing data to StandardData Files. NT4H2421Gx supports tearing protection for data that is sent within one communication frame to the file. Consequently, when using ISO/IEC 14443-4 chaining to write to a StandardData file, each frame itself is tearing protected but an interrupted chaining can lead to inconsistent files. Using single-frame WriteData commands instead of using the chaining can enable better control of the overall write process.

Depending on the communication mode settings of the Data file, data needs to be sent with either CommMode.Plain, CommMode.MAC or CommMode.Full. All cryptographic operations are done in CBC mode. In case of CommMode.MAC or CommMode.Full, the validity of data is verified by the PICC by checking the MAC. If the verification fails, the PICC stops further user memory programming and returns an Integrity Error to the PCD. As a consequence of the Integrity Error, any transaction, which might have begun, is automatically aborted. This can lead to the same situation as described above for an interrupted WriteData using chained communication.

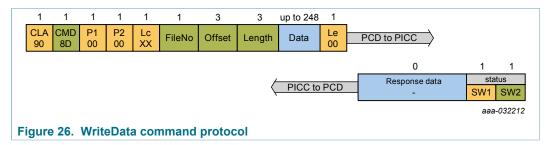


Table 81. Command parameters description - WriteData

| Name | Length | Value | Description | |
|-------------------------|--|-----------------------------------|--|--|
| Command Hea | der Parameters | | | |
| Cmd | 1 | 8Dh | Command code. | |
| FileNo | 1 | - | File number of the targeted file. | |
| | Bit 7-5 | 000b | RFU | |
| | Bit 4-0 | | File number | |
| | | Full range | | |
| Offset | 3 | 000000h (FileSize - 1) | Starting position for the write operation. | |
| Length | 3 | 000001h (FileSize - Offset) | Number of bytes to be written. | |
| Command Data Parameters | | | | |
| Data | up to 248 byte including secure messaging | Full range | Data to be written. | |

Table 82. Response data parameters description - WriteData

| rabio 02. Reopence data parametere decemption. Writebata | | | | |
|--|--------|----------------|--|--|
| Name | Length | Value | Description | |
| No response data parameters defined for this command | | | | |
| Response data | 0 | - | No response data | |
| SW1SW2 | 2 | 9100h 91XXh | successful execution Refer to Table 83 | |

Table 83. Return code description - WriteData

| Status | Value | Description |
|----------------------|-------|--|
| COMMAND_ABORTED | CAh | Chained command or multiple pass command ongoing. |
| INTEGRITY_ERROR | 1Eh | Invalid secure messaging MAC or encryption padding. |
| LENGTH_ERROR | 7Eh | Command size not allowed. |
| PARAMETER_ERROR | 9Eh | Parameter value not allowed. |
| PERMISSION_DENIED | 9Dh | PICC level (MF) is selected. |
| | | Write and ReadWrite of targeted file only have access conditions set to Fh. |
| | | Targeting a StandardData file with a chained command in MAC or Full while this is not allowed. |
| FILE_NOT_FOUND | F0h | Targeted file does not exist in the targeted application. |
| AUTHENTICATION_ERROR | AEh | Write and ReadWrite of targeted file not granted while at least one of the access conditions is different from Fh. |
| BOUNDARY_ERROR | BEh | Attempt to write beyond the file boundary as set during creation. |
| MEMORY_ERROR | EEh | Failure when reading or writing to non-volatile memory. |

10.9 Inter-industry standard commands

NT4H2421Gx provides the following ISO/IEC 7816-4 wrapped commands.

10.9.1 ISOSelectFile

This command is implemented in compliance with ISO/IEC 7816-4. It selects either the PICC level, an application or a file within the application.

If P1 is set to 00h, 01h or 02h, selection is done by a 2-byte ISO file identifier. For PICC level / MF selection, 3F00h or empty data has to be used. For Deticated application File (DF) and Elementary File (EF) selection, data holds the 2-byte ISO/IEC 7816-4 file identifier.

If P1 is set to 04h, selection is done by Deticated File (DF) name which can be up to 16 bytes. The registered ISO DF name is D2760000850100h. When selecting this DF name, the PICC level (or MF) is selected. For selecting the application immediately, the ISO/IEC 7816-4 DF name D2760000850101h can be used.

P2 indicates whether or not File Control Information (FCI) is to be returned in case of application selection. NT4H2421Gx does not support FCI and thus never returns any data, but does support both selection options to achieve broadest compatibility. The number of bytes requested by Le up to the complete file data will be returned in plain. There is no specific FCI template format checked, i.e. the data stored in the file will be sent back as is. In case of PICC level or file selection, FCI data is never returned. For NT4H2421Gx, no FCI will be returned as the pre-installed application does not contain such a file.

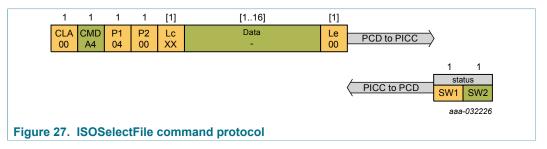


Table 84. Command parameters description - ISOSelectFile

| Name | Length | Value | Description |
|------|-------------|-------|---|
| CLA | 1 | 00h | |
| INS | 1 | A4h | |
| P1 | 1 | - | Selection Control |
| | | 00h | Select MF, DF or EF, by file identifier |
| | | 01h | Select child DF |
| | | 02h | Select EF under the current DF, by file identifier |
| | | 03h | Select parent DF of the current DF |
| | | 04h | Select by DF name, see [3] |
| P2 | 1 - 00h 0Ch | - | Option |
| | | 00h | Return FCI template: data stored in the file with ID 1Fh should be returned |
| | | 0Ch | No response data: no FCI should be returned |

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| Name | Length | Value | Description |
|------|--------|------------|--|
| Lc | [1] | 00h 10h | Length of subsequent data field |
| Data | [116] | - | Reference |
| | | Empty | [if P1 == 00h OR P1 == 03h] Select MF |
| | | Full range | [if P1 == 00h OR P1 == 01h OR P1== 02h] Select with the given file identifier |
| | | Full range | [if P1 == 04h] Select DF with the given DF name |
| Le | [1] | Full range | Empty or length of expected response |

Table 85. Response data parameters description - ISOSelectFile

| Name | Length | Value | Description |
|--------|--------|-------|--|
| SW1SW2 | 2 | | successful execution Refer to Table 86 |

Table 86. Return code description - ISOSelectFile

| SW1 SW2 | Value | Description |
|---------|-------|---|
| ISO6700 | 6700h | Wrong or inconsistent APDU length. |
| ISO6985 | 6985h | Wrapped chained command or multiple pass command ongoing. |
| ISO6A82 | 6A82h | Application or file not found, currently selected application remains selected. |
| ISO6A86 | 6A86h | Wrong parameter P1 and/or P2 |
| ISO6A87 | 6A87h | Wrong parameter Lc inconsistent with P1-P2 |
| ISO6E00 | 6E00h | Wrong CLA |

10.9.2 ISOReadBinary

The ISOReadBinary is a standard ISO/IEC 7816-4 command. It can be used to read data from the Standard Data File. This command does not support any secure messaging, it is always in plain. For executing ISOReadBinary command either "Read" or "Read&Write", access right must be set to free access rights.

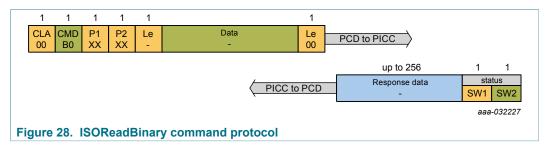


Table 87. Command parameters description - ISOReadBinary

| Name | Length | Value | Description |
|------|---------|---------------------------|--|
| CLA | 1 | 00h | |
| INS | 1 | B0h | |
| P1 | 1 | | ShortFile ID/Offset |
| | Bit 7 | | Encoding |
| | | 1b | P1[Bit 65] are RFU. P1[Bit 40] encode a short ISO FileID. P2[Bit 70] encode an offset from zero to 255. |
| | | Ob | P1 - P2 (15 bits) encode an offset from zero to 32767. |
| | Bit 6-5 | 00b | [if P1[7] == 1b] RFU |
| | Bit 4-0 | | [if P1[7] == 1b] short ISO FileID |
| | | 00h | Targeting currently selected file. |
| | | 01h 1Eh | Targeting and selecting file referenced by the given short ISO FileID. |
| | | 1Fh | RFU |
| | Bit 6-0 | (see P2) | [if P1[7] == 0b] Most significant bits of Offset |
| P2 | 1 | 000000h (FileSize - 1) | Offset (see above) |
| Le | 1 | - | The number of bytes to be read from the file. The length of a secure messaging MAC (depending on communication mode settings) should be included in this value. |
| | | 00h | Read the entire StandardData file, starting from the position specified in the offset value. Note that the short length Le limits response data to 256 byte. |
| | | 01h FFh | If bigger than (FileSize - Offset), after subtracting MAC length if MAC is to be returned, the entire StandardData file starting from the offset position is returned. |

| Name | Length | Value | Description |
|------|--------|------------|-------------|
| | | Full range | |

Table 88. Response data parameters description - ISOReadBinary

| Table 60: Treepones data parameters description in orthographicity | | | | | | |
|--|-----------|----------------|--|--|--|--|
| Name | Length | Value | Description | | | |
| Data | up to 256 | - | Data read. | | | |
| SW1SW2 | 2 | 9000h XXXXh | successful execution Refer to Table 89 | | | |

Table 89. Return code description - ISOReadBinary

| SW1 SW2 | Value | Description |
|---------|-------|---|
| ISO6581 | 6581h | Memory failure |
| ISO6700 | 6700h | Wrong or inconsistent APDU length. |
| ISO6982 | 6982h | Security status not satisfied: no access allowed as Read and ReadWrite access rights are different from Eh and SDMFileRead (if SDM enabled) access right is set to Fh. |
| | | Security status not satisfied: SDMReadCtr overflow. |
| | | Security status not satisfied: Targeted file cannot be read in not authenticated state as the related SDMReadCtr is equal or bigger than its SDMReadCtrLimit. |
| | | Security status not satisfied: AuthenticatedEV2 not allowed. |
| | | Security status not satisfied: AuthenticatedLRP not allowed. |
| ISO6985 | 6985h | Wrapped chained command or multiple pass command ongoing. No file selected. Targeted file is not of StandardData. Application of targeted file holds a TransactionMAC file. |
| ISO6A82 | 6A82h | File not found |
| ISO6A86 | 6A86h | Wrong parameter P1 and/or P2 |
| ISO6E00 | 6E00h | Wrong CLA |

10.9.3 ISOUpdateBinary

The ISOUpdateBinary command is implemented in compliance with ISO/IEC 7816-4, the command is only possible with CommMode.Plain for a Standard Data File. NT4H2421Gx supports tearing protection for data that is sent within one communication frame to the file. Consequently, when using ISO/IEC 14443-4 chaining to write to a Standard Data File, each frame itself is tearing protected but an interrupted chaining can lead to inconsistent files. Using single-frame ISOUpdateBinary commands instead of using the chaining can enable better control of the overall write process.

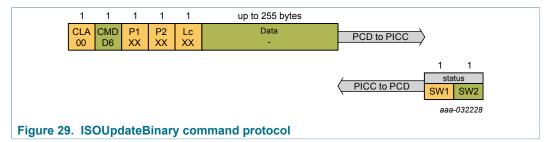


Table 90. Command parameters description - ISOUpdateBinary

| Name | Length | Value | Description | | | | |
|------|----------------|----------------------------|---|--|--|--|--|
| CLA | 1 | 00h | | | | | |
| INS | 1 | D6h | | | | | |
| P1 | 1 | | ShortFile ID/Offset | | | | |
| | Bit 7 | | RFU | | | | |
| | | 1b | P1[Bit 65] are RFU. P1[Bit 40] encode a short ISO FileID. P2[Bit 70] encode an offset from zero to 255. | | | | |
| | | Ob | P1 - P2 (15 bits) encode an offset from zero to 32767. | | | | |
| | Bit 6-5 | 00b | [if P1[7] == 1b] RFU | | | | |
| | Bit 4-0 | | [if P1[7] == 1b] short ISO FileID | | | | |
| | | 00h | Targeting currently selected file. | | | | |
| | | 01h 1Eh | Targeting and selecting file referenced by the given short ISO FileID. | | | | |
| | | 1Fh | RFU | | | | |
| | Bit 6-0 | (see P2) | [if P1[7] == 0b] Most significant bits of Offset | | | | |
| P2 | 1 | 000000h (FileSize - 1) | Offset (see above) | | | | |
| Lc | 1 | 01h (FileSize - Offset) | Length of subsequent data field | | | | |
| Data | up to 255 byte | Full range | Data to be written | | | | |

Table 91. Response data parameters description - ISOUpdateBinary

| Name | Length | Value | Description | | | |
|--|--------|-------|-------------|--|--|--|
| No response data parameters defined for this command | | | | | | |

| Name | Length | Value | Description |
|--------|--------|-------|--|
| SW1SW2 | 2 | | successful execution Refer to Table 92 |

Table 92. Return code description - ISOUpdateBinary

| Table 92. Return code description - 1500pdateBinary | | | | | |
|---|-------|--|--|--|--|
| SW1 SW2 | Value | Description | | | |
| ISO6581 | 6581h | Memory failure | | | |
| ISO6700 | 6700h | Wrong or inconsistent APDU length. | | | |
| ISO6982 | 6982h | Security status not satisfied: only free write with Write or ReadWrite equal to Eh is allowed. Security status not satisfied: AuthenticatedEV2 not allowed. Security status not satisfied: AuthenticatedLRP not allowed. | | | |
| ISO6985 | 6985h | Wrapped chained command or multiple pass command ongoing. No file selected. Attempt to write beyond the file boundary as set during creation. | | | |
| ISO6A82 | 6A82h | File not found | | | |
| ISO6A86 | 6A86h | Wrong parameter P1 and/or P2 | | | |
| ISO6E00 | 6E00h | Wrong CLA | | | |

10.10 Originality check commands

The originality check allows verification of the genuineness of NTAG 424 DNA (NT4H2421Gx). Two ways are offered to check the originality of the PICC: the first is based on a symmetric authentication, the second works on the verification of an asymmetric signature retrieved from the card.

The authentication procedure for AES keys can be used to authenticate to one of the four <u>OriginalityKey</u> and check whether the PICC is a genuine NXP product. NT4H2421Gx supports targeting the <u>OriginalityKey</u> with the LRP authentication using AES. For details on the authentication command, see <u>Section 9.2</u>. The following variants can be used:

- AuthenticateLRPFirst, see Section 9.2.5
- AuthenticateLRPNonFirst, see Section 9.2.6

The asymmetric originality signature is based on ECC and only requires a public key for the verification, which is done outside the card. The Read_Sig command can be used in both ISO/IEC 14443-3 and ISO/IEC 14443-4 protocols to retrieve the signature. If the PICC is not configured for Random ID, the command is freely available. There is no authentication required. If the PICC is configured for Random ID, an authentication is required.

10.10.1 Read Sig

The Read_Sig retrieves the asymmetric originality signature based on an asymmetric cryptographic algorithm Elliptic Curve Cryptography Digital Signature Algorithm (ECDSA), see [13] and can be used in both ISO/IEC 14443-3 and ISO/IEC 14443-4 protocol. The purpose of originality check signature is to protect from mass copying of non NXP originated ICs. The purpose of originality check signature is not to completely prevent HW copy or emulation of individual ICs.

A public key is required for the verification, which is done outside the card. The NXPOriginalitySignature is computed over the UID and written during manufacturing. If the PICC is not configured for Random ID, the command is freely available. There is no authentication required. If the PICC is configured for Random ID, an authentication with any authentication key is required. If there is an active authentication, the command requires encrypted secure messaging.

Remark: The originality function is provided to prove that the IC has been manufactured by NXP Semiconductors.

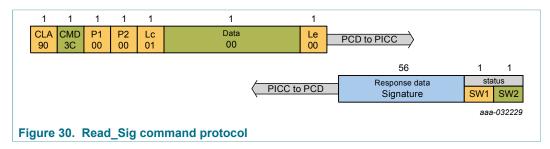


Table 93. Command parameters description - Read_Sig

| Table 93. Command parameters description - Read_Sig | | | | | | | |
|---|--------|-------------------------|--------------|--|--|--|--|
| Name | Length | ength Value Description | | | | | |
| Command Header Parameters | | | | | | | |
| CMD | 1 | 3Ch | Command code | | | | |
| Command Data Parameters | | | | | | | |

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| Name | Length | Value | Description |
|-----------------------|------------|---------|--|
| Command Header | Parameters | | |
| Data 1 | | - | Targeted ECC originality check signature |
| | | 00h | Targeting NXPOriginalitySignature |
| | | 01h FFh | RFU |

Table 94. Response data parameters description - Read_Sig

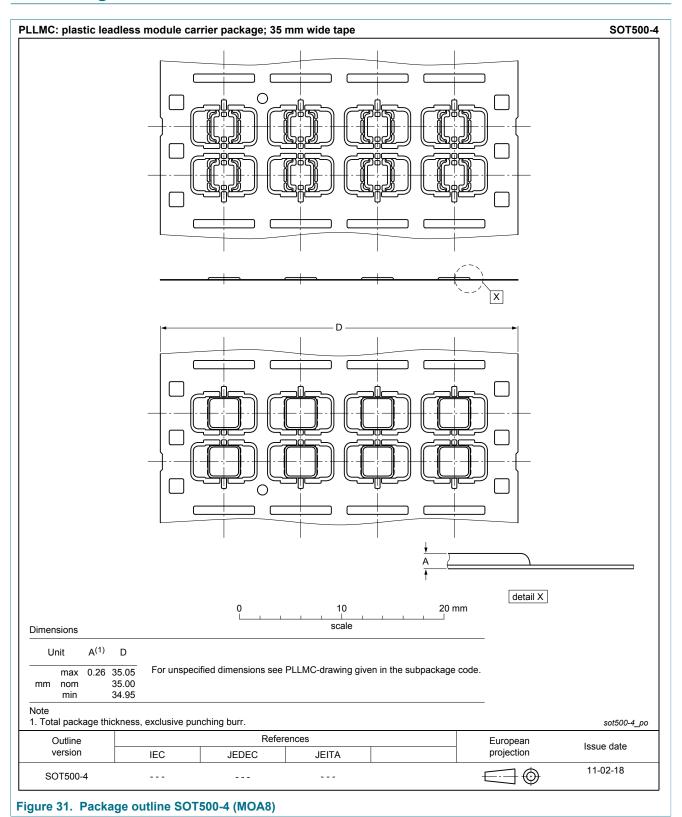
| Name | Length | Value | Description |
|--------|--------|----------------|--------------------------------------|
| Data | 56 | Full range | NXPOriginalitySignature |
| SW1SW2 | 2 | 9100h 91XXh | successful execution <u>Table 95</u> |

Table 95. Return code description - Read Sig

| . abio our intotain out accomplion intotai_oig | | | | | |
|--|-------|---|--|--|--|
| Status | Value | Description | | | |
| COMMAND_NOT_FOUND | 0Bh | Not allowed without valid authentication due to Random ID configuration. | | | |
| | | Missing or incorrect MAC when authenticated. | | | |
| COMMAND_ABORTED | CAh | Previous Command was not fully completed. Not all Frames were requested or provided by the PCD. | | | |
| COMMAND_FORMAT_ERROR | 0Ch | Unexpected command length. | | | |
| | | Unsupported Address | | | |

The NXPOriginalitySignature is computed over the UID with the use of asymmetric cryptographic algorithm Elliptic Curve Cryptography Digital Signature Algorithm (ECDSA), see [13]. No hash is computed: M is directly used as H. The NXP Originality Signature calculation uses curve secp224r1. NXP Originality signature verification together with example is explained in NT4H2421Gx - Feature and Hints application note.

11 Package outline



For details on the contactless modules MOA8 refer to [11].

12 Limiting values

Stresses exceeding one or more of the limiting values, can cause permanent damage to the device. Exposure to limiting values for extended periods can affect device reliability.

Table 96. Limiting values

In accordance with the Absolute Maximum Rating System (IEC 60134).

| Symbol | Parameter | Conditions | | Min | Max | Unit |
|------------------|---------------------------------|------------|-----|-----|-----|------|
| I _I | input current | on LA/LB | | - | 40 | mA |
| P _{tot} | total power dissipation | | | - | 120 | mW |
| T _{stg} | storage temperature | | | -55 | 125 | °C |
| T _{amb} | ambient temperature | | | -25 | 70 | °C |
| V _{ESD} | electrostatic discharge voltage | on LA/LB | [1] | - | 2 | kV |

^[1] ANSI/ESDA/JEDEC JS-001; Human body model: C = 100 pF, $R = 1.5 \text{ k}\Omega$

CAUTION



This device is sensitive to ElectroStatic Discharge (ESD). Observe precautions for handling electrostatic sensitive devices. Such precautions are described in the *ANSI/ESD S20.20, IEC/ST 61340-5, JESD625-A* or equivalent standards.

13 Characteristics

Table 97. Characteristics

| Symbol | Parameter | Conditions | | Min | Тур | Max | Unit |
|----------------------|-------------------|--------------------------|-----|---------|-------|------|-------|
| C _i | input capacitance | | [1] | 45.0 | 50.0 | 55.0 | pF |
| fi | input frequency | | | - | 13.56 | - | MHz |
| EEPRON | l characteristics | | | | | | |
| t _{ret} | retention time | T _{amb} = 22 °C | | 50 | - | - | year |
| N _{endu(W)} | write endurance | T _{amb} = 22 °C | | 200.000 | - | - | cycle |

^[1] $T_{amb} = 22 \, ^{\circ}C$, f = 13.56 MHz, $V_{LaLb} = 2 \, V$ RMS

14 Abbreviations

Table 98. Abbreviations

| able 98. Appreviations | |
|------------------------|---|
| Acronym | Description |
| AES | Advanced Encryption Standard |
| AID | Application Identifier |
| APDU | Application Protocol Data Unit |
| AppKey | Application Key |
| AppMasterKey | Application Master Key |
| ASCII | American Standard Code for Information Interchange |
| ATQA | Answer to Request A |
| ATS | Answer to Select |
| C-APDU | Command APDU |
| CBC | Cipher Block Chain, one mode of operation for block ciphers |
| CC | Capability Container |
| CID | Channel Identifier |
| CLA | Class |
| CMAC | Cipher-based Message Authentication Code |
| CmdCtr | Command Counter |
| DF | Dedicated File (Application) |
| EAL | Evaluation Assurance Level |
| ECC | Elliptic Curve Cryptography |
| EF | Elementary File (File) |
| FCI | File Control Information |
| FFC | Film Frame Carrier |
| FID | File Identifier |
| FSC | Frame Size for proximity Card |
| FWI | Frame Waiting Time Integer |
| INS | Instructions |
| IV | Initialization Vector |
| LRP | Leakage Resilient Primitive |
| LSB | Least Significant Byte |
| MAC | Message Authentication Code |
| MF | Master File (PICC Level) |
| MSB | Most Significant Byte |
| NDEF | NFC Data Exchange Format |
| NFC | Near Field Communication |
| NVM | Non-Volatile Memory |
| | |

| Acronym | Description | | |
|-----------------|---|--|--|
| PCD | Proximity Coupling Device (Contactless Reader) | | |
| PCDCap | Proximity Coupling Device Capabilities | | |
| PD | Proximity Device, used as synonym for the PICC | | |
| PDCap | Proximity Device, used as synonym for the PICC Proximity Device Capabilities | | |
| PICC | Proximity IC Card | | |
| PICCData | PICC data targeted for mirroring (e.g. UID, SDMReadCtr) | | |
| PPS | Protocol Parameter Select | | |
| RC | Return Code | | |
| _ | | | |
| R-APDU | Response APDU | | |
| RFU | Reserved for future use | | |
| RID | Random ID | | |
| SAK | Select Acknowledge | | |
| SAM | Secure Access Module | | |
| SDM | Secure Dynamic Messaging | | |
| SDMCtrRet | SDM Counter Retrieval, access right for GetFileCounters | | |
| SDMENCFileData | Refers to the encrypted part of data in the NDEF file | | |
| SDMFileRead | SDM File Reading, key/access setting for Secure Dynamic Messaging | | |
| SDMFileReadKey | Refers to the AppKey which is used for SDM MAC calculation | | |
| SDMMAC | Refers to the MAC calculated over response | | |
| SDMMetaRead | SDM Meta Reading, specifies PICCData encryption key or plain mirroring | | |
| SDMMetaReadKey | Refers to the AppKey which is used for SDM encryption of PICCData | | |
| SDMReadCtr | SDM Read Counter, counting number of interactions with a PICC | | |
| SesAuthENCKey | Session key for encryption | | |
| SesAuthMACKey | Session key for MACing | | |
| SesTMENCKey | Transaction MAC Session Key for Encryption | | |
| SesTMMACKey | Transaction MAC Session Key for MACing | | |
| SM | Secure Messaging | | |
| SUN | Secure Unique NFC | | |
| SV | Session Vector, input for session key calculation | | |
| SW | Status Word | | |
| TI | Transaction Identifier | | |
| TotFailCtr | Total Failed Authentication Counter | | |
| TotFailCtrDecr | Total Failed Authentication Counter Decrement | | |
| TotFailCtrLimit | Total Failed Authentication Counter Limit | | |
| UID | Unique IDentifier | | |

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^{1 ** ...} document version number

16 Revision history

Table 99. Revision history NT4H2421Gx

| Document ID | Release date | Data sheet status | Change notice | Supersedes | |
|----------------|---|---|---------------|------------|--|
| 465430 | 20190131 | Product data sheet | - | 465411 | |
| Modifications: | | et status changed into status changed into "C | | | |
| 465411 | 20181105 | Objective data sheet | | 465410 | |
| Modifications: | added response codes in <u>Status word</u> changed data retention time in <u>Section 5</u> and <u>Section 13</u> changed ATS value in <u>Section 8.1.1</u> clarified generation of <u>SDMMetaReadUpdateKey</u> in <u>Section 9.3.4.2</u> | | | | |
| 465410 | 20180321 | Objective data sheet | - | - | |

17 Legal information

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| Document status ^{[1][2]} | Product status ^[3] | Definition | |
|-----------------------------------|-------------------------------|---|--|
| Objective [short] data sheet | Development | This document contains data from the objective specification for product development. | |
| Preliminary [short] data sheet | Qualification | This document contains data from the preliminary specification. | |
| Product [short] data sheet | Production | This document contains the product specification. | |

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Please be aware that important notices concerning this document and the product(s) described herein, have been included in section 'Legal information'.